

# Mathematical Modeling and Experimental Investigations of the Charge–Discharge Mechanisms in Aqueous Batteries

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## Abstract

1  
2 Rechargeable aqueous batteries are ideal candidates for grid-scale energy storage  
3 due to their inherent safety and the abundance and low cost of their electrochemi-  
4 cally active materials. However, realizing high-capacity and low-cost aqueous batteries  
5 is limited by significant coulombic and voltaic inefficiencies during charge–discharge  
6 cycles. To examine the underlying mechanisms of these inefficiencies, we develop a  
7 one-dimensional continuum-scale model to simulate the reactive and charge trans-  
8 port processes in aqueous batteries and assess their impacts on the charge–discharge  
9 performance. The model accounts for multiple chemical and electrochemical reac-  
10 tions (e.g., nucleation, deposition, dissolution, gas evolution, and intercalation) and

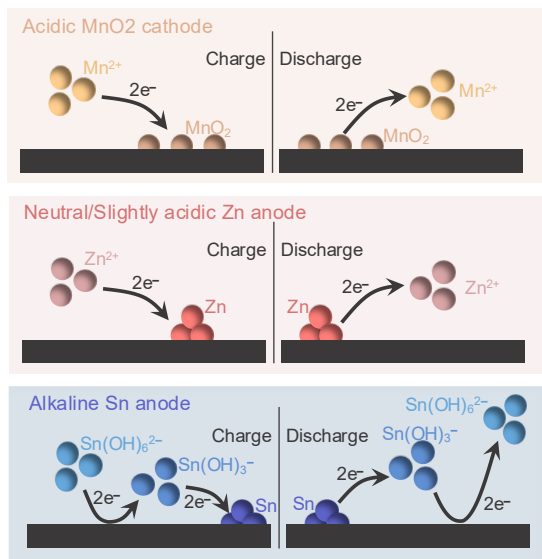
11 reaction-induced variations in electrolyte pH and reactive surface areas. We fit the  
12 model to experimentally-measured charge–discharge curves for several aqueous battery  
13 chemistries, including acidic H<sub>2</sub>–Mn, near-neutral Zn–MnO<sub>2</sub>, and alkaline Sn–NiOOH  
14 chemistries. We also incorporate additional physics into the model to simulate more  
15 complex phenomena such as nucleation overpotential and adsorption energy. The mod-  
16 eling framework provides a mechanistic tool for studying the physical, chemical, and  
17 electrochemical processes in aqueous batteries; and guides the design of high-utilization  
18 and low-cost aqueous batteries for grid-scale energy storage.

## 19 **1 Introduction**

20 Rechargeable aqueous batteries utilize water as the electrolyte solvent and abundant low-  
21 toxicity metal elements as the primary reactants. While the inherent safety and affordability  
22 of their active materials make them strong candidates for grid-scale energy storage, their  
23 commercial viability hinges on achieving higher energy densities. One of the most promising  
24 strategies is to construct electrodes using multi-electron metal deposition/stripping reactions,  
25 such as two- (Mn, Zn, Ca, Mg), three- (Fe, Al), and four- (Sn) electron metal reactions. For  
26 example, the theoretical energy densities of acidic MnO<sub>2</sub>, near-neutral Zn, and alkaline Sn  
27 electrodes (respectively 617, 820, and 903 mAh/g), which though lower than that of lithium  
28 metal (3860 mAh/g) are substantially higher than that of conventional lithium-ion intercala-  
29 tion electrodes (e.g., graphite at 372 mAh/g). While several aqueous battery systems using  
30 multi-electron metal electrodes have been demonstrated<sup>1–5</sup>, most studies operate under low  
31 utilization conditions where only a small fraction of the electrochemically active material par-  
32 ticipates in the electrochemical reactions and energy conversion. Consequently, the realized  
33 cell capacities often fall short of theoretical limits, and the total lifetime energy throughput  
34 is limited (Figure 1). Achieving high energy density and long cycle life remains challenging,  
35 primarily due to significant coulombic and voltaic inefficiencies at higher utilization levels.

36 Coulombic and voltaic inefficiencies in aqueous batteries stem from various mechanisms.

(a) Deposition/dissolution spanning chemistries and pH



(b) Theoretical capacity limits VS Realized capacities

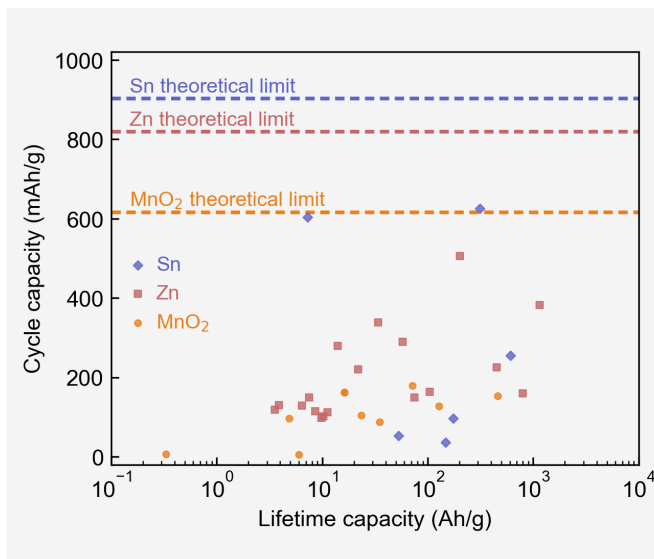


Figure 1: (a) Electrochemical deposition and dissolution reactions in aqueous batteries using acidic MnO<sub>2</sub> cathode, near-neutral Zn anode, and alkaline Sn anode. (b) Theoretical capacity limits, realized cycle capacities, and realized lifetime capacities of the three electrode types. The realized cycle capacities and life-time capacities were collected from the literature, where cell capacities and electrode-deposited active material mass are provided. The data and references are presented in Table S1.

37 First, electro-deposition and electro-dissolution reactions—common processes in aqueous  
 38 battery electrodes wherein the active material undergoes a redox transformation between  
 39 liquid and solid phases—may consume additional energy through processes like nucleation  
 40 and surface adsorption, generate high-resistance solids, or even form irreversible oxides<sup>6,7</sup>.  
 41 Second, when electrode potentials fall outside the water stability window, gas evolution  
 42 such as the hydrogen evolution reaction (HER) and oxygen evolution reaction (OER) may  
 43 occur<sup>8</sup>. In particular, HER occurs at reductive potentials and becomes more active in acidic  
 44 electrolytes where the hydrogen evolution activity of metals (e.g., Al) increases. The gas  
 45 generation process, if irreversible, can result in capacity loss through a variety of mechanisms,  
 46 including blockage of electrode reactive sites<sup>9</sup>, consumption of water<sup>10</sup>, and precipitation  
 47 of electroactive salts. Finally, the chemical reactivity of electroactive ions and deposited  
 48 metals can cause self-discharge reactions that significantly reduce cell capacity and energy  
 49 efficiency<sup>4</sup>. Addressing these challenges requires mechanistic investigations on the transport,

50 chemical, and electrochemical processes in aqueous batteries during cycling.

51 Physics-based models provide mechanistic tools to characterize the intertwined transport  
52 and reaction processes in battery cells as demonstrated by the pioneering work of New-  
53 man and Tobias<sup>11</sup> on general porous electrodes, as well as more recent studies on other  
54 battery systems (e.g., lithium-ion battery<sup>12,13</sup>, lithium-sulfur battery<sup>14-16</sup>, and zinc-air bat-  
55 tery<sup>17</sup>). Despite the progress in Li- and zinc-air battery systems, physics-based models for  
56 rechargeable aqueous batteries remain limited<sup>18,19</sup>. The challenge arises from the different  
57 and often elusive reaction mechanisms in aqueous batteries. For example, electrochemi-  
58 cal and chemical reactions can significantly alter the electrolyte pH spatially and temporally  
59 through conversion, production, or consumption of protons ( $H^+$ ) and hydroxides ( $OH^-$ )<sup>20-22</sup>.  
60 Fluctuations in pH, in conjunction with electrode potential govern ion speciation, reaction  
61 pathways, and reaction kinetics in aqueous electrolytes<sup>19,23</sup>. Additionally, aqueous battery  
62 electrodes often undergo electrochemical deposition/dissolution reactions and chemical pre-  
63 cipitation/dissolution reactions<sup>3,20,24</sup>, which modify the electrode surface morphology and  
64 reactive surface area. The reactive surface area is critical to the charge-discharge perfor-  
65 mance as it controls the rates of electro-deposition and electro-dissolution reactions. Accu-  
66 rate representations of electrolyte pH and reactive surface area are essential for predicting  
67 charge-discharge behaviors and resolving the underlying physical, chemical, and electro-  
68 chemical reactions.

69 To our knowledge, two physics-based aqueous battery models have been reported in the  
70 literature<sup>18,19</sup>. Bernard et al.<sup>18</sup> introduced a one-dimensional continuum-scale model to sim-  
71 ulate the discharge curves of an  $MnO_2$  cathode for near-neutral aqueous Zn- $MnO_2$  batteries.  
72 Their model did not account for local or bulk pH change, nor its effect on reaction kinetics  
73 and discharge behavior (e.g., the pH-driven precipitation of zinc hydroxide sulfate (ZHS)).  
74 While they recently extended the model to account for pH variations<sup>25</sup>, it remains unclear  
75 how changes in porosity and reactive surface area were represented in their model. Concur-  
76 rently, Herrmann et al.<sup>19</sup> extended a pseudo-two-dimensional (P2D) continuum-scale model

77 for a Zn–air battery model<sup>17</sup> to study the interplay between electrolyte pH and reactions  
78 at the MnO<sub>2</sub> cathode of aqueous Zn–MnO<sub>2</sub> batteries. Their model estimated the reactive  
79 surface areas by representing the cathode active materials as non-overlapping spherical par-  
80 ticles. It does not account for the generation of new reactive surfaces induced by particle  
81 separations during dissolution, as well as the coalescence of particle reactive surfaces during  
82 deposition. To date, these models have focused exclusively on aqueous Zn–MnO<sub>2</sub> batter-  
83 ies. Consequently, it remains unclear whether they can be generalized and applied to other  
84 aqueous battery chemistries with different pH and reaction pathways.

85 To bridge these gaps, we develop a one-dimensional continuum-scale model for aqueous  
86 battery cells that expands on previous aqueous battery models and can be used to exam-  
87 ine various chemistries and pHs. Our model represents a full aqueous battery cell as a  
88 one-dimensional continuum accounting for multispecies ion and charge transport, chemical  
89 and electrochemical reactions (e.g., nucleation, deposition, dissolution, gas evolution, and  
90 intercalation), and reaction-induced variations in electrolyte pH and reactive solid surface  
91 areas in the liquid electrolyte, solid matrix, or their interfaces. We apply the model to  
92 study various aqueous battery chemistries (including acidic H<sub>2</sub>-Mn, near-neutral Zn-MnO<sub>2</sub>,  
93 and alkaline Sn-NiOOH) and their cycling performance across diverse initial, boundary, and  
94 operating conditions. Our comprehensive model–experimental data comparisons and vali-  
95 dations demonstrate the capability of the modeling framework to deepen the mechanistic  
96 understanding of electrode processes and provide necessary insights to improve battery per-  
97 formance.

## 98 2 Methods

99 We summarize the reaction mechanisms in the studied aqueous batteries (Section 2.1). We  
100 then formulate a general mathematical model for aqueous battery cells (Section 2.2) and  
101 present the numerical algorithm for solving the model (Section 2.3). Finally, we benchmark

102 the model using experimental data (Section 2.4).

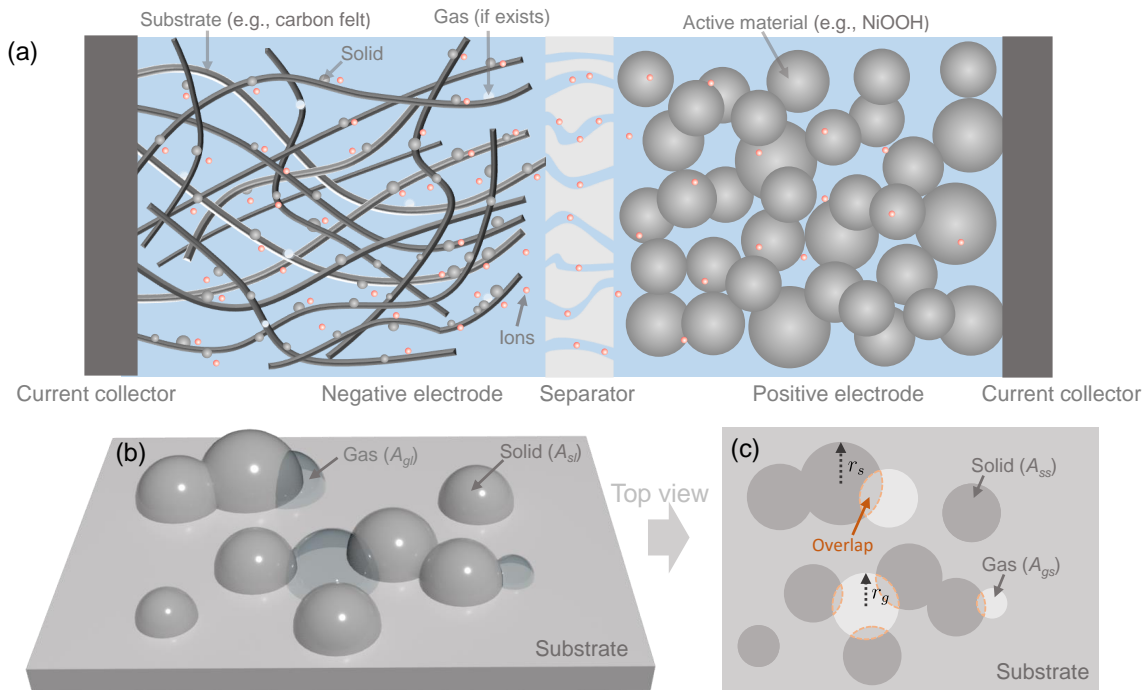
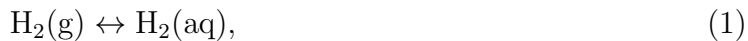


Figure 2: (a) An example aqueous battery (i.e., aqueous alkaline Sn-NiOOH battery) consisting of a negative electrode substrate with electro-deposited materials, a separator, and a positive electrode. (b) Three-dimensional representations and (c) top planar view of the electro-deposited solids and generated gases represented by fully penetrable hemispheres. The electrodeposition is represented as a single layer of solid spheres, which will coalesce into a dense solid. The gas generation is represented by a single layer of gas spheres, which will coalesce into a large gas bubble alongside or on top of the dense solid.

## 103 2.1 Reaction mechanisms

### 104 2.1.1 Acidic H<sub>2</sub>-Mn battery

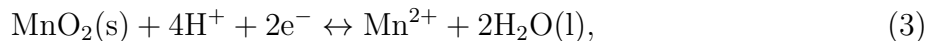
105 Acidic H<sub>2</sub>-Mn aqueous batteries utilize reversible HER as the anode reaction and MnO<sub>2</sub>  
 106 deposition/dissolution as the cathode reaction. The electrochemical and chemical reactions  
 107 in the acidic H<sub>2</sub>-Mn battery anode (Equations (1–2)) and cathode (Equation (3)) are<sup>20</sup>:



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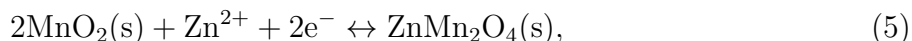
110 where “g”, “s”, “l”, and “aq” denote gas, solid, liquid, and dissolved gas phases.

### 111 2.1.2 Near-neutral Zn–MnO<sub>2</sub> battery

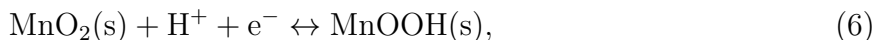
112 In near-neutral Zn–MnO<sub>2</sub> batteries, the electro-deposition and electro-dissolution of Zn are  
 113 the only electrochemical reactions in the Zn anode. However, it remains under debate which  
 114 electrochemical reactions occur in the MnO<sub>2</sub> cathode. Existing hypotheses include: (1)  
 115 Zn<sup>2+</sup> (de)intercalation<sup>26</sup>, (2) H<sup>+</sup> (de)intercalation (or conversion reaction)<sup>24</sup>, (3) zinc hydrox-  
 116 ide sulfate (ZHS) deposition/dissolution<sup>22</sup>, (4) Zn–Mn compound deposition/dissolution<sup>21</sup>,  
 117 (5) MnOOH disproportionation<sup>27</sup>, and others. Based on existing experimental observa-  
 118 tions<sup>22,24,26</sup> and theoretical analysis<sup>28</sup>, reaction pathways (1)–(3) appear plausible and are  
 119 thus used to parameterize the model. The electrochemical and chemical reactions in the  
 120 anode (Equation (4)) and the cathode (Equations (5–7)) are:



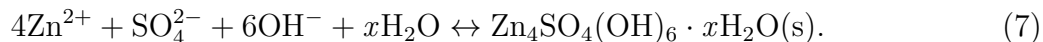
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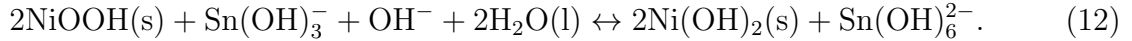
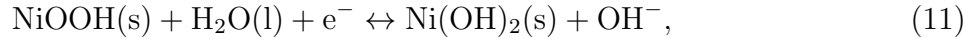
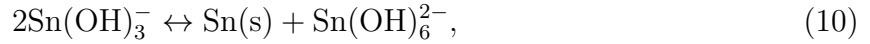
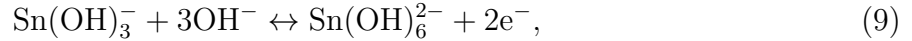
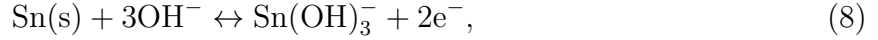
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### 124 2.1.3 Alkaline Sn–NiOOH battery

125 Alkaline Sn–NiOOH batteries take advantage of the four-electron reaction between Sn and  
 126 Sn(OH)<sub>6</sub><sup>2-</sup> in the anode and H<sup>+</sup> (de)intercalation in NiOOH in the cathode.<sup>4</sup> During the  
 127 charge and discharge of alkaline Sn–NiOOH batteries, the desired anode (Equations (8–

128 9)) and cathode (Equation (11)) reactions are complicated by the chemical reactivity of the  
 129  $\text{Sn}(\text{OH})_3^-$  intermediate, which can chemically disproportionate (Equation (10)) or chemically  
 130 react with the cathode in a self-discharge mechanism (Equation (12)). The electrochemical  
 131 and chemical reactions involved are summarized below.



## 136 2.2 Mathematical model

### 137 2.2.1 Electrochemical and chemical reactions

138 We consider a full aqueous battery cell (Figure 2a), structured as a layered assembly of  
 139 three solid domains—the negative electrode, separator, and positive electrode—immersed in  
 140 a liquid electrolyte. During the charge and discharge of the battery cell, the chemical species  
 141 can participate in electrochemical and chemical reactions (see examples in Section 2.1). To  
 142 ensure generalizability, we describe each reaction by the following equation:

$$\sum_k s_{jk} M_k^{z_k} = n_j \text{e}^-, \quad (13)$$

143 where  $j$  denotes the index of the  $j^{\text{th}}$  reaction,  $k$  denotes the index of  $k^{\text{th}}$  chemical species,  
 144  $M_k$  refers to the  $k^{\text{th}}$  chemical species,  $z_k$  is the charge number of  $M_k$ ,  $n_j$  is the number of  
 145 electrons transferred in the  $j^{\text{th}}$  reaction ( $n_j = 0$  if the  $j^{\text{th}}$  reaction is a chemical reaction),  
 146  $s_{jk}$  is the stoichiometric coefficient of the  $k^{\text{th}}$  chemical species in the  $j^{\text{th}}$  reaction.

147 **Electrochemical reaction** We assume the electrochemical reactions occur at the electrolyte–  
 148 substrate interface (e.g., electro-deposition and electro-dissolution) or inside the substrate  
 149 (e.g., intercalation and deintercalation). Supposing the  $j^{th}$  reaction is electrochemical, the  
 150 reaction rate for  $k^{th}$  species in the the  $j^{th}$  reaction is given by

$$S_{jk} = -A_j \frac{s_{jk} I_j}{n_j F}, \quad (14)$$

151 where  $s_{jk}$  and  $n_j$  are defined in Equation (13),  $F$  is the Faraday constant,  $A_j$  is the specific  
 152 reactive surface area for the  $j^{th}$  reaction ( $A_j$  is an important parameter that will be discussed  
 153 in more details later), and  $i_j$  is the current density due to the  $j^{th}$  electrochemical reaction.  
 154 Here,  $i_j$  is assumed to follow the Butler–Volmer equation

$$i_j = i_{0,j} \left[ \prod_k \left( a_k \frac{c_k}{1000} \right)^{p_{jk}} \exp \left( \frac{\alpha_{aj} F}{R_g T} \eta_j \right) - \prod_k \left( a_k \frac{c_k}{1000} \right)^{q_{jk}} \exp \left( -\frac{\alpha_{cj} F}{R_g T} \eta_j \right) \right], \quad (15)$$

155 where  $i_{0,j}$  is the exchange current density of the  $j^{th}$  electrochemical reaction at reference  
 156 concentrations,  $a_k$  is the activity coefficient of the  $k^{th}$  species,  $c_k$  is the aqueous concentration  
 157 of the  $k^{th}$  species with a unit of mol/m<sup>3</sup>,  $p_{jk} = \max(s_{jk}, 0)$ ,  $q_{jk} = -\min(s_{jk}, 0)$ ,  $\alpha_{aj}$  and  $\alpha_{cj}$   
 158 are respectively the anode and cathode transfer coefficient of the  $j^{th}$  reaction,  $\eta_j$  is the  
 159 overpotential for the  $j^{th}$  electrochemical reaction.  $\eta_j$  is defined as  $\eta_j = \phi_s - \phi_l - U_{eq,j}$ , where  
 160  $\phi_s$  is the electric potential in the solid substrate,  $\phi_l$  is the electric potential in the liquid  
 161 electrolyte, and  $U_{eq,j}$  is the equilibrium potential for the  $j^{th}$  reaction as computed by the  
 162 Nernst equation.

163 The reaction rate  $S_{jk}$  scales with the reactive surface area  $A_j$ , which can be modified by  
 164 deposition/dissolution reactions and gas generation/consumption reactions.  $A_j$  is a function  
 165 of the interfacial areas between the depositing/dissolving solids, gases, liquid electrolyte, and  
 166 solid substrate. To differentiate the depositing/dissolving solids from the solid substrate, we  
 167 will refer to the former as “solid” and the latter as “substrate” hereafter. Assuming only  
 168 one solid and one gas are generated or consumed by reactions, we represent each phase as

169 separate, fully penetrable hemispheres, i.e., a single layer of solid spheres which will coalesce  
 170 into a dense solid and a single layer of gas spheres which will coalesce into a large gas bubble  
 171 on top of the dense solid (Figure 2b–2c). Accordingly, we can compute their surface areas  
 172 by a stochastic geometry method, namely a Boolean model<sup>29,30</sup>. According to the Boolean  
 173 model, the solid–electrolyte interfacial area  $A_{sl}$  in a unit control volume is given by

$$A_{sl} = A_{sl}^e \exp\left(-\lambda_s \frac{\epsilon_s^e}{\epsilon_0}\right), \quad (16)$$

174 where  $A_{sl}^e$  is the extended area of the solid–electrolyte interfaces per unit control volume (de-  
 175 fined as  $A_{sl}^e = \sum_k N_{s,k} 2\pi r_{s,k}^2$ , where  $k$  refers to the rank of a radius  $r_s$  and  $N_{s,k}$  is the number  
 176 of solid-phase hemispheres whose radii are equal to  $r_{s,k}$  in a unit control volume),  $\epsilon_s^e$  is the  
 177 extended volume fraction of the solid-phase hemispheres (defined as  $\epsilon_s^e = \sum_k N_{s,k} (2\pi/3) r_{s,k}^3$ ),  
 178  $\epsilon_0$  is the initial substrate porosity without electro-deposition and gas generation, and  $\lambda_s$  is a  
 179 scale for accounting for the deviation of surface area for non-spherical solids (we set  $\lambda_s = 1$   
 180 by default, unless stated otherwise). Additionally, we can compute the solid–substrate in-  
 181 terfacial area  $A_{ss}$  using a two-dimensional version of the Boolean model

$$A_{ss} = A_0 \left[ 1 - \exp\left(-\lambda_{ss} \frac{A_{ss}^e}{A_0}\right) \right], \quad (17)$$

182 where  $A_0$  is the initial reactive surface area per unit control volume before the occurrence of  
 183 electro-deposition and gas generation,  $A_{ss}^e$  is the area of the extended solid–substrate interface  
 184 per unit control volume (i.e.,  $A_{ss}^e = \sum_k N_{s,k} \pi r_{s,k}^2$ ), and  $\lambda_{ss}$  is a scale for accounting for the  
 185 deviation of the solid–substrate interfacial area for non-spherical solids (we set  $\lambda_{ss} = 1$  by  
 186 default, unless stated otherwise).

187 We apply the same approach to compute the gas-phase surface area and the gas–substrate  
 188 interfacial area. If no electro-deposition occurs, these areas can be computed using the same  
 189 equations for the solid phase. If gas evolution is occurring alongside deposition, we need  
 190 to consider the impact of the solid phase. In that case, we assume the solid phase as the

191 primary phase and the gas phase as the secondary phase, which will reside in the pore spaces  
 192 not occupied by the solid phase. Therefore, the gas-phase surface area  $A_{gl}$  is given by<sup>29,30</sup>

$$A_{gl} = A_{gl}^e \exp\left(-\lambda_s \frac{\epsilon_s^e}{\epsilon_0}\right) \exp\left(-\lambda_g \frac{\epsilon_g^e}{\epsilon_0}\right), \quad (18)$$

193 where  $A_{gl}^e$  is the extended surface area of the gas phase per unit control volume (defined as  
 194  $A_{gl}^e = \sum_k N_{g,k} 2\pi r_{g,k}^2$ , where  $N_{g,k}$  is the number of gas-phase hemispheres whose radii are  $r_{g,k}$   
 195 in a unit control volume),  $\epsilon_g^e$  is the extended volume fraction of the gas-phase hemispheres  
 196 per unit control volume (defined as  $\epsilon_g^e = \sum_k N_{g,k} (2\pi/3)r_{g,k}^3$ ), and  $\lambda_g$  is a scale for accounting  
 197 for the deviation of the surface area for non-spherical gases (we set  $\lambda_g = 1$  by default,  
 198 unless stated otherwise). Similarly, the gas–substrate interfacial area  $A_{gs}$  is given by a two-  
 199 dimensional version of the Boolean model

$$A_{gs} = A_0 \exp\left(-\lambda_{ss} \frac{A_{ss}^e}{A_0}\right) \left[1 - \exp\left(-\lambda_{gs} \frac{A_{gs}^e}{A_0}\right)\right], \quad (19)$$

200 where  $A_{gs}^e$  is the extended area of the gas–substrate interface per unit control volume (i.e.,  
 201  $A_{gs}^e = \sum_k N_{g,k} \pi r_{g,k}^2$ ), and  $\lambda_{gs}$  is a scale for accounting for the deviation of gas–substrate  
 202 interfacial area for non-spherical solids (we set  $\lambda_{gs} = 1$  by default, unless stated otherwise).

203 Additionally, some electro-deposition reactions are typically initiated by a nucleation  
 204 process where a high overpotential is required to overcome the surface energy barrier and  
 205 create new solid phases. We model the nucleation using classical nucleation theory and  
 206 compute the nucleation rate  $N_{jk}$  (i.e., the number of newly formed nuclei in a unit control  
 207 volume) for the  $j^{th}$  reaction by

$$\frac{dN_{jk}}{dt} = A_{ns,j} Z_{jk} f_{jk} \exp\left(-\frac{\Delta G_{jk}}{k_b T}\right), \quad (20)$$

208 where  $A_{ns,j}$  is the specific area of nucleation sites (we assume  $A_{ns,j} = \max(A_0 - A_{ss} - A_{gs}, 0)$ ),  
 209  $Z_{jk}$  is the probability of forming a stable nucleus of the  $k^{th}$  species per unit surface area,  $f_{jk}$

210 is the frequency at which an atom or molecule of the  $k^{th}$  species attaches to the nucleus,  
 211  $k_b$  is the Boltzmann constant, and  $\Delta G_{jk}$  is the net change in Gibbs free energy upon forming  
 212 a nucleus, which is a function of nucleus radius  $r_{n,jk}$ .  $\Delta G_{jk}$ , which changes over time, is  
 213 given by

$$\Delta G_{jk} = \frac{2}{3}\pi r_{n,jk}^3 \frac{\rho_{s,jk} n_j F}{s_{jk}} (\phi_s - \phi_l) + \pi r_{n,jk}^2 (\gamma_{ns,jk} - \gamma_{ls,jk}) + 2\pi r_{n,jk} \gamma_{ln,jk}, \quad (21)$$

214 where  $\rho_{s,jk}$  is the molar density of the solid phase;  $\gamma_{ns,jk}$ ,  $\gamma_{ls,jk}$ , and  $\gamma_{ln,jk}$  are the interfacial  
 215 tensions between the nucleus and substrate, the liquid electrolyte and substrate, and the  
 216 liquid electrolyte and nucleus, respectively. Following classical nucleation theory, we assume  
 217 a stable nucleus will only form if its radius is greater than a critical size  $r^c$  given by

$$r_{n,jk}^c = -\frac{s_{jk} (\gamma_{ns,jk} - \gamma_{ls,jk} + 2\gamma_{ln,jk})}{\rho_{s,jk} n_j F (\phi_s - \phi_l)}. \quad (22)$$

218 In the presence of nucleation, the reaction rate for the  $k^{th}$  species in the  $j^{th}$  electrochemical  
 219 reaction becomes

$$S_{jk} = -A_j \frac{s_{jk} i_j}{n_j F} = -(A_{n,j} + A_{d,j}) \frac{s_{jk} i_j}{n_j F}, \quad (23)$$

220 where  $A_{n,j}$  is the surface area of all nuclei in a unit control volume (i.e.,  $A_{n,j}$  can be  
 221 computed by  $A_{n,j} = A_{sl}^e [1 - \exp(-\epsilon_n^e/\epsilon_0)] [1 - \exp(-\epsilon_s^e/\epsilon_0)]$ , where  $\epsilon_n^e$  is the extended vol-  
 222 ume fraction of the nuclei) and  $A_{d,j}$  is the depositing solid-phase surface area (i.e.,  $A_{d,j} =$   
 223  $A_{sl}^e \exp(-\epsilon_n^e/\epsilon_0) [1 - \exp(-\epsilon_s^e/\epsilon_0)]$ ).

224 As discussed earlier in this section, the reactive surface area for the  $j^{th}$  reaction  $A_j$  is a  
 225 function of  $A_{sl}$ ,  $A_{ss}$ ,  $A_{gl}$ ,  $A_{gs}$ , and  $A_0$ . The exact expressions of the functions depend on the  
 226 specific reactions and the solid-phase electrical conductivity, which are specified in Table S6  
 227 for several aqueous batteries.

228 **Chemical reactions** Chemical reactions may occur in the electrolyte, on the substrate  
 229 surface, or on the solid surface. Supposing the  $j^{th}$  reaction is a chemical reaction, the reaction

230 rate for  $k^{th}$  species in the the  $j^{th}$  reaction is given by

$$S_{jk} = -\beta_j s_{jk} \left[ K_{f,j} \prod_k \left( a_k \frac{c_k}{1000} \right)^{p_{jk}} - K_{r,j} \prod_k \left( a_k \frac{c_k}{1000} \right)^{q_{jk}} \right], \quad (24)$$

231 where  $p_{jk} = \max(s_{jk}, 0)$ ,  $q_{jk} = -\min(s_{jk}, 0)$ , while the remaining variables and parameters  
 232 need to be defined for specific reactions. If the  $j^{th}$  reaction occurs in the electrolyte, the  
 233 variables and parameters are defined in the electrolyte:  $\beta_j$  is the volume fraction of liquid  
 234 electrolyte (i.e.,  $\beta_j = \epsilon_l$ ), and  $K_{f,j}$  and  $K_{r,j}$  are respectively the forward and reverse reaction  
 235 rate constants per unit volume of electrolyte. If the  $j^{th}$  reaction occurs on a substrate or solid  
 236 surface, the variables and parameters are defined on the surface:  $\beta_j$  is the reactive surface  
 237 area (i.e.,  $\beta_j = A_j$ ), and  $K_{f,j}$  and  $K_{r,j}$  are respectively the forward and reverse reaction rate  
 238 constants on a unit reactive surface area.

### 239 2.2.2 Solid- and gas-phase volume evolution

240 The electrochemical and chemical reactions may modify the solid phase volume fraction  
 241 (i.e., the ratio of the solid-phase volume to the control volume) in space and time, which is  
 242 governed by the following equation:

$$\frac{\partial \epsilon_s}{\partial t} + \sum_{k_s} \left( V_{m,k_s} \sum_j S_{k_s j} \right) = 0, \quad (25)$$

243 where  $\epsilon_s$  is the solid-phase volume fraction (i.e., the ratio of the gas-phase volume to the con-  
 244 trol volume) which can be computed from the Boolean model (i.e.,  $\epsilon_s = \epsilon_0 [1 - \exp(-\epsilon_s^e/\epsilon_0)]$ ),  
 245  $i_s$  refers to the species forming a solid phase, and  $V_{m,i_s}$  is the molar volume of the solid phase.  
 246 We assume any generated gas phase is non-reactive but can exchange mass with dissolved gas  
 247 in the electrolyte. The temporal evolution of the gas phase is represented by a first-order-rate  
 248 model, which yields

$$\frac{\partial \epsilon_g}{\partial t} + \sum_{k_g} V_{m,k_g} Q_{k_g} = 0, \quad (26)$$

249 where  $\epsilon_g$  is the gas-phase volume fraction which can also be computed from the Boolean  
 250 model (i.e.,  $\epsilon_g = \epsilon_0 \exp(-\epsilon_s^e/\epsilon_0) [1 - \exp(-\epsilon_g^e/\epsilon_0)]$ ),  $k_g$  refers to the species forming a gas  
 251 phase,  $V_{m,k_g}$  is the molar volume of the species  $k_g$  in the gas phase,  $Q_{k_g}$  is the mass transfer  
 252 rate between dissolved gas in the liquid electrolyte and the gas phase. We assume  $Q_{k_g}$  follows  
 253 a first-order mass transfer model, i.e.,  $Q_{k_g} = \kappa_{g,k_g} A_{gl} (c_{k_g}^{\text{eq}} - c_{k_g})$ , where  $\kappa_{g,k_g}$  is the first-order  
 254 mass transfer rate constant between dissolved gas and gas phase,  $c_{k_g}^{\text{eq}}$  is the equilibrium gas  
 255 concentration which is assumed to follow Henry's law (i.e.,  $c_{k_g}^{\text{eq}} = H_{k_g} p_{k_g}$ , where  $H_{k_g}$  and  $p_{k_g}$   
 256 are the Henry solubility and partial pressure of component  $k_g$  in the gas phase, respectively).

257 Note that we compute  $\epsilon_s$  and  $\epsilon_g$  by representing the solid and gas phases as fully-  
 258 penetrated hemispheres, and assume the hemispheres grow or shrink at the same rate.

### 259 2.2.3 Chemical species and charge transport

260 In addition to reactions, the chemical species also migrate in the liquid electrolyte. Their  
 261 transport is governed by diffusion and electrical migration in the electrolyte, represented by  
 262 the following mass conservation equation:

$$\frac{\partial \epsilon_l c_k}{\partial t} = -\frac{\partial J_k}{\partial x} + \sum_j S_{jk} + Q_k, \quad (27)$$

263 where  $\epsilon_l$  is the liquid-phase volume fraction (i.e.,  $\epsilon_l = \epsilon_0 - \epsilon_s - \epsilon_g$ , where  $\epsilon_0$ ,  $\epsilon_s$ , and  $\epsilon_g$  are  
 264 respectively the initial porosity, solid-phase volume fraction, and gas-phase volume fraction.)  
 265 and  $J_k$  is the flux of the  $k^{\text{th}}$  species (i.e.,  $J_k = -\epsilon_l D_k \left( \frac{\partial c_k}{\partial x} + \frac{c_k z_k F}{RT} \frac{\partial \phi_l}{\partial x} \right)$ , where  $D_k$  is the  
 266 effective diffusivity of the  $k^{\text{th}}$  species. Here  $D_k = \tau D_{0,k}$ , where  $\tau$  is the tortuosity factor and  
 267  $D_{0,k}$  is the molecular diffusion coefficient in free electrolyte). The transport of ionic species  
 268 also leads to charge transport in the liquid electrolyte, which is governed by the following  
 269 equation:

$$\frac{\partial i_l}{\partial x} = \sum_j A_j i_j, \quad (28)$$

270 where  $i_l = F \sum_k z_k J_k$ . The charge can also migrate in the solid substrate. We assume  
 271 that the solid phase remains locally electroneutral and that charge transfer occurs under  
 272 steady-state conditions. Under these assumptions, solid-phase charge transport is governed  
 273 by:

$$\frac{\partial i_s}{\partial x} = - \sum_j A_j i_j, \quad (29)$$

274 where  $i_s = -\sigma \frac{\partial \phi_s}{\partial x}$ , with  $\sigma$  being the effective conductivity of solid substrate.

275 Equations (14–29) provide the governing equations for electrochemical and chemical reac-  
 276 tions, multispecies ion transport, and charge transport in aqueous batteries. The equations  
 277 can be solved using the initial and boundary conditions introduced in the following two  
 278 sections, respectively.

#### 279 **2.2.4 Initial conditions**

280 We present the initial conditions for the charge process, while those for discharge are obtained  
 281 from the final state of the charge simulation. Any conditions specific to discharge will be  
 282 described explicitly. We assume the system is in chemical equilibrium at the beginning of  
 283 charge, and accordingly, the initial ion concentrations and porosities are given by

$$\begin{aligned} c_k(t = 0) &= c_{0,k}, \\ \epsilon_s(t = 0) &= 0, \\ \epsilon_g(t = 0) &= 0, \end{aligned} \quad (30)$$

284 where  $c_{0,k}$  is the initial concentration of the  $k^{th}$  species, which is known from experiments.

285 Additionally, the initial amounts and sizes of solid- and gas-phase hemispheres must be  
 286 defined. If the electro-deposition and gas generation are initiated by nucleation processes,  
 287 new hemispheres (i.e., nuclei) can form at any time, and the quantity of new hemispheres is  
 288 determined via Equation (20). New hemispheres start with zero size and subsequently grow  
 289 through electro-deposition. Conversely, the quantity of pre-existing hemispheres remains

constant over time, but their sizes continue to increase. The growth rates are calculated using the methods outlined at the end of Section 2.2.1. Note that the electro-dissolution discharge simulations only need the initial numbers and sizes of the solid-phase and gas-phase hemispheres, since no new hemispheres are being generated.

## 2.2.5 Boundary conditions

We consider two types of boundary conditions for our simulations: applied current and applied voltage.

**Applied current** Suppose the current density  $i_{\text{tot}}(t)$  is applied to a cell with length  $L$ , defined by  $L = L_a + L_{sp} + L_c$  where  $L_a$ ,  $L_{sp}$ , and  $L_c$  are respectively the lengths of the negative electrode (referred to as “anode” hereafter), separator, and positive electrode (referred to as “cathode” hereafter). The boundary conditions at the interfaces between the current collector and the anode ( $x = 0$ ) and the cathode ( $x = L$ ) are given by:

$$-\sigma \frac{\partial \phi_s}{\partial x} \Big|_{x=0} = -\sigma \frac{\partial \phi_s}{\partial x} \Big|_{x=L} = i_{\text{tot}}(t), \quad (31)$$

where  $i_{\text{tot}}(t)$  is the area-specific current applied to or generated by the battery cell at time  $t$ . Ions cannot penetrate the current collectors, and therefore the ion fluxes at the interface between the current collector and the anode ( $x = 0$ ) or cathode ( $x = L$ ) are zero, which yields

$$J_k|_{x=0} = J_k|_{x=L} = 0. \quad (32)$$

Correspondingly, the gradient of the liquid-phase electropotential is zero at  $x = 0$  and  $x = L$ . Here, instead of using  $\frac{\partial \phi_l}{\partial x}|_{x=0} = 0$ , we set  $\phi_l(x = 0) = 0$  such that  $\phi_l(x)$  elsewhere has a common reference point. Therefore, the boundary conditions for the liquid-phase charge transport are given by

$$\phi_l|_{x=0} = 0, \quad \text{and} \quad \frac{\partial \phi_l}{\partial x} \Big|_{x=L} = 0. \quad (33)$$

310 Additionally, the insulating separator yields zero electron flux at the interfaces between the  
 311 separator and anode ( $x = L_a$ ) and cathode ( $x = L_a + L_{sp}$ ), which leads to

$$\frac{\partial \phi_s}{\partial x} \Big|_{x=L_a} = \frac{\partial \phi_s}{\partial x} \Big|_{x=L_a+L_{sp}} = 0. \quad (34)$$

312 **Applied voltage** When a voltage  $V_0(t)$  is applied to the cell, the boundary conditions  
 313 remain the same, except for Equation (31). The equation becomes

$$\begin{aligned} \phi_s|_{x=L} - \phi_s|_{x=0} &= V_0(t), \\ \frac{\partial \phi_s}{\partial x} \Big|_{x=L} - \frac{\partial \phi_s}{\partial x} \Big|_{x=0} &= 0, \end{aligned} \quad (35)$$

314 where  $V_0(t)$  is the voltage applied to, or generated by, the battery cell at time  $t$ . Equation  
 315 (35) represents an applied external voltage and a global charge conservation.

## 316 2.3 Numerical algorithm

317 Equations (14–29) represent the governing equations of the mathematical model for reactions,  
 318 ion transport, and charge transport processes in an aqueous battery cell. The equations have  
 319 the following unknown primary variables: ion concentrations  $c_i$ , liquid-phase potential  $\phi_l$ ,  
 320 solid-phase potential  $\phi_s$ , solid-phase volume fraction  $\epsilon_s$ , and gas-phase volume fraction  $\epsilon_g$ .  
 321 The primary variables can be solved numerically by imposing the initial conditions (i.e.,  
 322 Equation (30)) and boundary conditions (i.e., Equations (32–35)). Here, this is done by first  
 323 discretizing the governing equations in space using a finite volume method and in time using  
 324 a backward-Euler method. Then, the resulting nonlinear discretized equations are solved by  
 325 a quasi-Newton method given that a standard Newton-Raphson method is challenged by the  
 326 exponential relationship between the electrochemical reaction rates and the overpotential,  
 327 which is a function of  $\phi_s$  and  $\phi_l$  (see Equation (15)). In particular, if the initial guesses for  
 328  $\phi_s$  and  $\phi_l$  are not close enough to the solutions, their absolute values may become excessively  
 329 large, leading to reaction rates that exceed floating-point limits and Newton iterations that

330 do not converge. The adopted quasi-Newton method addresses this challenge by replacing  
331 the exponential terms in Equation (15) by their truncated Taylor series and solving the  
332 approximated equations for the first time step. We then use the approximate solutions as  
333 initial guesses and resolve the original equations for the same time step. Once the solution  
334 is obtained, we can solve the original equations for the subsequent time steps. We note that  
335 the truncation order of the Taylor series may need to be adjusted for different simulations,  
336 and more advanced methods (e.g., similarity variable method) may be used for solving the  
337 nonlinear model.

## 338 **2.4 Model evaluation**

339 We evaluate the model by simulating the potentiostatic and galvanostatic charge–discharge  
340 curves of three aqueous battery chemistries: (1) acidic H<sub>2</sub>–Mn, (2) near-neutral Zn–MnO<sub>2</sub>,  
341 and (3) alkaline Sn–NiOOH.

### 342 **2.4.1 Data collection**

343 We first collect experimental data sets from the literature for acidic H<sub>2</sub>–Mn<sup>20</sup> and near-  
344 neutral Zn–MnO<sub>2</sub><sup>31</sup> batteries and measure those for the alkaline Sn–NiOOH battery through  
345 laboratory experiments.

346 The acidic H<sub>2</sub>–Mn battery data was initially reported by Chen et al.<sup>20</sup>. Their experiments  
347 were performed in Swagelok cells that consist of a MnO<sub>2</sub> cathode, a Pt/C-coated anode, a  
348 glass fiber separator, and a MnSO<sub>4</sub> and H<sub>2</sub>SO<sub>4</sub> electrolyte (see Table S2). The cells were  
349 charged at constant voltage to four different cell capacities, and then discharged at the same  
350 rate (see Table S3).

351 The near-neutral Zn–MnO<sub>2</sub> battery data was reported by Zhou et al.<sup>31</sup>, who utilized  
352 pouch cells with a MnO<sub>2</sub>-based cathode, a Zn foam-based anode, a glass fiber separator, and  
353 an aqueous solution of ZnSO<sub>4</sub> and MnSO<sub>4</sub> as the electrolyte (see Table S2). The charge–  
354 discharge curves were collected for five different current densities across a fixed voltage range,

355 corresponding to five capacities (see Table S3).

356 Finally, we constructed alkaline Sn–NiOOH battery pouch cells in an “anode free” con-  
357 figuration, using two anode substrates (copper foam and carbon felt), Ni(OH)<sub>2</sub> cathodes,  
358 monovalent anion-exchange membrane separators, and an aqueous solution of K<sub>2</sub>Sn(OH)<sub>6</sub>  
359 and KOH as the electrolyte (see Table S2). We measure galvanostatic charge–discharge  
360 curves at different applied current densities (see Table S3).

### 361 **2.4.2 Model parameter calibration**

362 The collected data sets are then used to calibrate the model parameters to simulate the  
363 charge–discharge curves for all three aqueous battery chemistries. While some parame-  
364 ters (e.g., cell dimensions, material properties, and electrolyte compositions) were provided  
365 based on reported measurements, others were calibrated using experimental data. For all  
366 chemistries investigated, we assume the charge transfer coefficient to be 0.5<sup>11</sup>. We manually  
367 tuned the rest of the unknown parameters by fitting the model to a single experimental  
368 charge and discharge curve and then evaluated the validity and accuracy of the model us-  
369 ing the remaining charge and discharge curves. The tuning parameters for each battery  
370 configuration are specified in Section 3.

### 371 **2.4.3 Model parameter sensitivity analysis**

372 We also quantify the individual and combined effects of model parameters on model perfor-  
373 mance using a global sensitivity analysis known as the Sobol method<sup>32,33</sup>. Briefly, the Sobol  
374 method begins by defining the number of model parameters ( $N_p$ ) and their associated ranges.  
375 These vary across different aqueous batteries and will be specified in Section 3, where the  
376 Sobol analysis is applied. The parameters are either constrained by known physical ranges  
377 or, when uncertain, assumed to be bounded between one-tenth and ten times the best-fitted  
378 values. We then run  $N_s \times (N_p + 2)$  Monte Carlo simulations using parameter sets randomly  
379 sampled from their ranges via a Sobol quasi-random sequence. Here  $N_s$  is the number of

380 samples for each parameter, which is set to 1,024<sup>32,33</sup>. For each parameter set, we run model  
381 simulations under all experimental conditions and compute the mean root-mean-square error  
382 (RMSE) across all simulated curves. Finally, we use the mean RMSE as the model perfor-  
383 mance metric to evaluate both the sensitivity of individual parameters (i.e., first-order Sobol  
384 index) and the combined effects of parameter pairs (i.e., second-order Sobol index).

## 385 **3 Results and analysis**

### 386 **3.1 Model evaluation and validation**

387 We apply the model described in Sections 2.2–2.3 to simulate the charge–discharge curves for  
388 the acidic H<sub>2</sub>–Mn, near-neutral Zn–MnO<sub>2</sub>, and alkaline Sn–NiOOH aqueous battery cells.  
389 The modeling results are evaluated and validated using the data collected in Section 2.4.  
390 The modeling results and analysis are discussed in the following sections.

#### 391 **3.1.1 Modeling potentiostatic charge and galvanostatic discharge curves of an** 392 **acidic H<sub>2</sub>–Mn battery**

393 We first evaluate the model by simulating the potentiostatic charge and galvanostatic dis-  
394 charge curves for the acidic H<sub>2</sub>–Mn battery<sup>20</sup> (see the reaction schematic in Figure 3a–b  
395 and reactions in Equations (1–2)). The model parameters for cell dimensions and electrode  
396 material properties are summarized in Table S4. We calibrate three unknown parameters  
397 (i.e., the exchange current density of the anode reaction, and the initial reactive surface  
398 areas of the anode and cathode substrates) using one experimental dataset where the cell  
399 is charged at  $V_0(t)=1.6$  V to 20 mAh, and then discharged at  $i_{\text{tot}}(t) = 10$  mA/cm<sup>2</sup>. The  
400 parameters are tuned until a good match is reached between the simulation results and ex-  
401 perimental data, and then the calibrated model is applied to simulate the remaining three  
402 charge–discharge curves. As shown in Figure 3c, the modeled curves present an excellent  
403 match to the measured ones as evidenced by the small root-mean-square errors (RMSE =

404 0.025 V  $\sim$  0.046 V).

405 A closer inspection reveals nonuniform ion concentrations and pH across the cell and  
406 nonuniform electro-deposition of MnO<sub>2</sub> across the cathode during the charge–discharge cy-  
407 cles (Figures 3d–g and S2). This indicates that the reactant transport is slower than the  
408 electrochemical reactions and is the rate-limiting process in the system. We examine the rel-  
409 ative importance of ion transport and electrochemical reaction by computing the Damköhler  
410 number defined by  $Da = \frac{L^2 \kappa_{jk}}{\bar{D}_i}$ , where  $i$  refers to the controlling reactant (i.e.,  $k = \text{Mn}^{2+}$  or  
411  $\text{H}^+$  during charge, while  $i = \text{Mn}^{2+}$  or  $\text{H}_2$  during discharge),  $\kappa_{jk}$  refers to the rate constant of  
412 the controlling reaction (i.e.,  $\kappa_{jk}$  is estimated by  $\bar{S}_{jk}/\bar{c}_i$  where  $\bar{S}_{jk}$  and  $\bar{c}_i$  are the represen-  
413 tative reaction rate and concentration and are estimated by domain-averaged reaction rate  
414 and concentration at the beginning of the simulations), and  $\bar{D}_i$  is the effective diffusivity  
415 (i.e.,  $\bar{D}_i = (L_a \epsilon_a D_{a,i} + L_{sp} \epsilon_{sp} D_{sep,i} + L_c \epsilon_c D_{c,i}) / (L_a \epsilon_a + L_{sp} \epsilon_{sp} + L_c \epsilon_c)$ ). If  $Da \gg 1$ , the sys-  
416 tem is transport-controlled. In contrast, if  $Da \ll 1$ , the system is reaction-controlled. The  
417 calculations yield  $Da = 1.1 \times 10^3 \sim 1.5 \times 10^4$  during charge, verifying a transport-controlled  
418 system. We acknowledge that the current experiments fall in the low-utilization regimes  
419 where less than 5.3% Mn<sup>2+</sup> is participating in the electrochemical reactions, and thus the  
420 system has sufficient Mn<sup>2+</sup> for electrochemical reactions during the charge and discharge  
421 cycles. Therefore, no significant transport-limited behavior is observed during discharge.

422 To further identify the dominant controlling factors, we perform a global sensitivity  
423 analysis on the following three uncertain parameters: the anode exchange current density  
424 ( $i_{0,1}$ ), the initial anode reactive surface area ( $A_{0,\text{an}}$ ), and the initial cathode reactive surface  
425 area ( $A_{0,\text{ca}}$ ) (Figure S6). The results indicate that model performance is primarily governed  
426 by the anode reaction parameters (particularly  $A_{0,\text{an}}$ , which has a first-order Sobol index of  
427 0.87), whereas the cathode reactive surface area exerts only a minor influence. This finding  
428 indicates that the system is within the low MnO<sub>2</sub> utilization regime and that anode processes  
429 controls the overall cell overpotential.

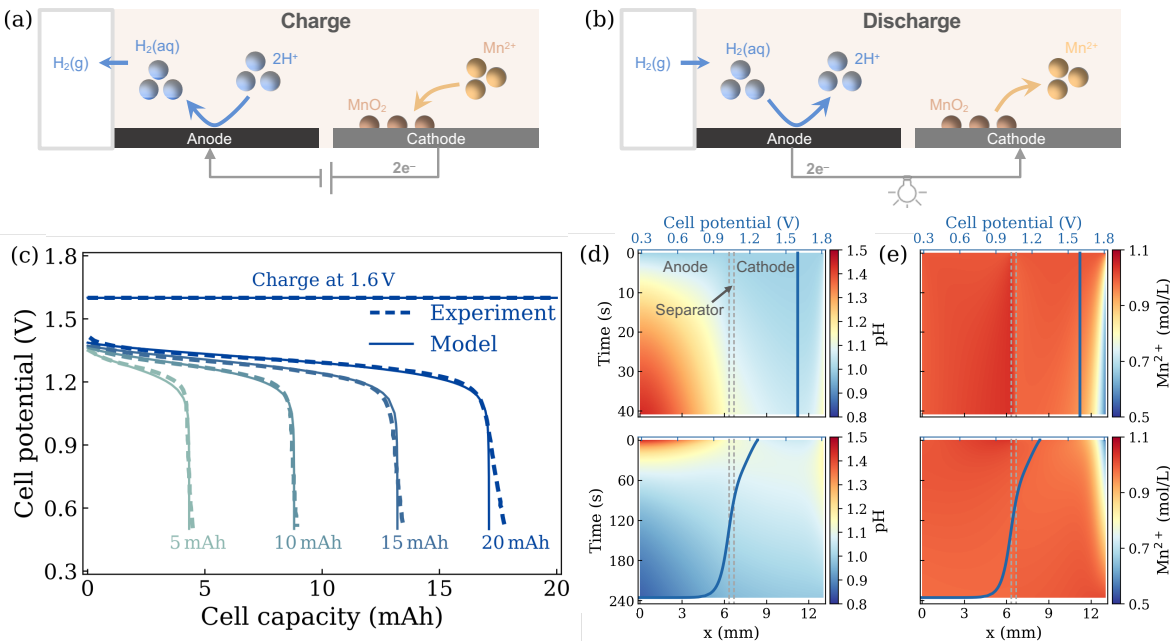


Figure 3: (a–b) Conceptual model representation of the charge and discharge of an acidic  $H_2$ –Mn battery. (c) Modeled vs. measured potentiostatic charge and galvanostatic discharge curves of an acidic  $H_2$ –Mn battery cell. The cell is charged at a constant voltage (i.e.,  $V_0(t)=1.6$  V) to a certain capacity (i.e., 5, 10, 15, or 20 mAh), and then discharged at four current densities (i.e.,  $i_{tot}(t) = 10$  mA/cm<sup>2</sup>). The experimental data are collected from the work of Chen et al.<sup>20</sup>. Note that because the cell was charged to different capacities in the experiments and its charge curves overlapped, we present the curve that reaches the largest capacity. The corresponding charge curve for the electric current is provided in Figure S1. Spatial and temporal variations of (d) pH and (e)  $Mn^{2+}$  concentration during the charge (top row of Panels d–e) and discharge (bottom row of Panels d–e) of an acidic  $H_2$ –Mn battery with a cycle capacity of 1 mAh at a constant charge voltage (1.6 V) and a discharge rate of 10 mA/cm<sup>2</sup>. During discharge, the initial ion concentration and  $MnO_2$  profiles are the same as those at the end of charge. The gray dashed lines indicate the boundary between the anode and the separator (left) and the boundary between the separator and the cathode (right).

### 430 3.1.2 Modeling galvanostatic curves of a near-neutral Zn– $MnO_2$ battery

431 Next, we evaluate the model by simulating the galvanostatic charge–discharge curves for the  
 432 near-neutral Zn– $MnO_2$  battery from reported experiments<sup>31</sup>, which probed the performance  
 433 of the system under rates ranging from 1 C to 30 C (Here 1 C refers to the rate at which a  
 434 battery cell is fully charged or discharged in 1 hour). The governing equations of the near-

435 neutral Zn–MnO<sub>2</sub> battery are described in Equation (4) and Equations (5–7) for the anode  
 436 and cathode, respectively. To parameterize the model, we make the following assumptions:  
 437 (1) In the electrolyte, water dissociation is in chemical equilibrium (i.e., pH + pOH = 14).  
 438 (2) In the anode, Zn deposition/dissolution is treated as the primary reaction, while side re-  
 439 actions such as H<sub>2</sub> evolution and ZHS formation/dissolution are not explicitly included. The  
 440 solid-phase Zn is also represented by a group of equal-size hemispheres rather than a distri-  
 441 bution of varying-size hemispheres, and the Zn surface area is calculated using the Boolean  
 442 model. These simplifications are adopted because the simulated cell has an oversized Zn  
 443 anode relative to the MnO<sub>2</sub> cathode, such that anode-side side reactions and detailed Zn  
 444 morphology evolution are expected to have only a minor influence on the reported charge–  
 445 discharge curves. In other systems or under different conditions, these processes may need  
 446 to be considered explicitly. (3) In the MnO<sub>2</sub> cathode, we assume Zn<sup>2+</sup> and H<sup>+</sup> indepen-  
 447 dently intercalate into or deintercalate from MnO<sub>2</sub> (i.e., a fraction of MnO<sub>2</sub> is used for Zn<sup>2+</sup>  
 448 (de)intercalation, while the remaining is used for H<sup>+</sup> (de)intercalation). (4) The Zn<sup>2+</sup> and H<sup>+</sup>  
 449 (de)intercalation reactions are modeled by the following modified Butler-Volmer equations:

$$\begin{aligned}
 i_2 &= i_{0,2} \left[ (1 - \text{SOC}_{\text{Zn}^{2+}}) \left( \frac{c_{\text{Zn}^{2+}}}{1000} \right)^{1/2} \exp \left( \frac{\alpha_{a2} F}{R_g T} \eta_2 \right) - \text{SOC}_{\text{Zn}^{2+}} \exp \left( -\frac{\alpha_{c2} F}{R_g T} \eta_2 \right) \right], \\
 i_3 &= i_{0,3} \left[ (1 - \text{SOC}_{\text{H}^+}) \left( \frac{c_{\text{H}^+}}{1000} \right) \exp \left( \frac{\alpha_{a3} F}{R_g T} \eta_3 \right) - \text{SOC}_{\text{H}^+} \exp \left( -\frac{\alpha_{c3} F}{R_g T} \eta_3 \right) \right],
 \end{aligned} \tag{36}$$

450 where  $i_2$  and  $i_3$  respectively denote the exchange current densities for Zn<sup>2+</sup> and H<sup>+</sup> (de)intercalation  
 451 reactions (where we keep the subscripts consistent with the reaction indexes presented in  
 452 Section 2.1.2),  $\text{SOC}_{\text{Zn}^{2+}}$  denotes the state of charge at Zn<sup>2+</sup> (de)intercalation sites (i.e.,  
 453  $\text{SOC}_{\text{Zn}^{2+}} = c_{s,\text{Zn}^{2+}}/c_{s,\text{Zn}^{2+}}^m$  with  $c_{s,\text{Zn}^{2+}}$  being the solid-phase Zn<sup>2+</sup> concentration and  $c_{s,\text{Zn}^{2+}}^m$   
 454 being the maximum solid-phase Zn<sup>2+</sup> concentration),  $\text{SOC}_{\text{H}^+}$  denotes the state of charge  
 455 at H<sup>+</sup> (de)intercalation sites (i.e.,  $\text{SOC}_{\text{H}^+} = c_{s,\text{H}^+}/c_{s,\text{H}^+}^m$  with  $c_{s,\text{H}^+}$  being the solid-phase  
 456 H<sup>+</sup> concentration and  $c_{s,\text{H}^+}^m$  being the maximum solid-phase H<sup>+</sup> concentration), and the  
 457 remaining parameters are defined in Equation (15).

458 To set up the simulations, we first split the  $\text{MnO}_2$  into two types of (de)intercalation  
459 sites—which are assigned to  $\text{Zn}^{2+}$  and  $\text{H}^+$ —associated with the upper and lower discharge  
460 plateaus, respectively. We use the model parameters for cell dimensions and electrode  
461 material properties as shown in Table S4, and calibrate the eleven remaining unknown  
462 parameters—the initial number and size of Zn hemispheres, the standard potential for two  
463 (de)intercalation reactions ( $U_{\theta,j}$ ), the exchange current densities for all reactions ( $i_{0,j}$ ), the  
464 reaction rate constants for all chemical reactions ( $K_f$  and  $K_r$ ), the initial reactive substrate  
465 surface area of the cathode reaction ( $A_{0,\text{ca}}$ )—using one experimental data set where the cell  
466 is cycled at 1 C. This calibration is then used to predict the other voltage curves.

467 We observe that the model predictions deviate from the experimental data (Figure S3).  
468 This deviation is likely due to the neglect of solid-phase diffusion processes and their impact  
469 on active material accessibility and cell capacity at higher rates. To account for the rate-  
470 dependent cell capacities, we introduce a threshold for the available  $\text{MnO}_2$  (i.e., maximum  
471 saturation of  $\text{Zn}^{2+}$  and  $\text{H}^+$  in the  $\text{MnO}_2$ ). Because the threshold is rate-dependent, we  
472 calibrate its value for each rate using the following procedure. At a targeted rate, we first  
473 approximate the available  $\text{MnO}_2$  threshold by the ratio between the measured capacity and  
474 the theoretical capacity. We then recalibrate all the model parameters for the discharge curve  
475 and use the recalibrated model to predict the charge curve at the same rate. As shown in  
476 Figure 4a, the modeled charge–discharge curves show a much better match with the measured  
477 ones as demonstrated by the low root-mean-square errors—RMSE = 0.012 V ~ 0.086 V for  
478 the charge curves, and RMSE = 0.035 V ~ 0.052 V for the discharge curves. The agreement  
479 between the simulations and measurements validates the new model parameterization. A  
480 closer look shows that the spatial profiles of ion concentrations and pH are uniform across the  
481 cell (Figure 5). Additionally, the Damköhler numbers range from  $2.1 \times 10^{-3}$  to 2.2. Both the  
482 uniform ion concentration profiles and smaller Damköhler numbers indicate that the system  
483 is not limited by ion transport, but controlled instead by the electrochemical reactions.

484 We compute the electrode-averaged properties to examine the controlling factors of the

485 charge–discharge behavior with particular emphasis on the two discharge plateaus—a crit-  
 486 ical process that extends the utilization of  $\text{MnO}_2$ . Our simulations indicate that the first  
 487 discharge plateau is dominated by  $\text{Zn}^{2+}$  intercalation in the  $\text{MnO}_2$  cathode (Equation (5)),  
 488 which has a higher equilibrium redox potential. When the  $\text{MnO}_2$  cannot accommodate fur-  
 489 ther  $\text{Zn}^{2+}$  intercalation, the second reaction (i.e.,  $\text{H}^+$  intercalation) becomes the dominant  
 490 process. Additionally, voltage rebound is observed, which we attribute to the competition  
 491 between  $\text{H}^+$  intercalation (Equation (6)) and  $\text{Zn}_4\text{SO}_4(\text{OH})_6 \cdot x\text{H}_2\text{O}(\text{s})$  (ZHS) precipitation  
 492 (Equation (7)). As  $\text{H}^+$  intercalation becomes the dominant electrochemical reaction, the pH  
 493 increases rapidly, but is then counterbalanced by the precipitation of ZHS, which consumes  
 494  $\text{OH}^-$  and maintains the near-neutral condition (Figure 4). This sustains the  $\text{H}^+$  concen-  
 495 tration and the higher voltage during the 2<sup>nd</sup>-stage discharge. Finally, at the end of the  
 496 2<sup>nd</sup>-stage discharge, the depletion of intercalation sites within  $\text{MnO}_2$  becomes dominant and  
 497 lowers the voltage (Figure 4c).

498 Given that the battery behavior is primarily controlled by cathode processes, we examine  
 499 the key controlling factors via a global sensitivity analysis (i.e., Sobol’s method) on the fol-  
 500 lowing uncertain cathode reaction parameters: the total available  $\text{MnO}_2$  for (de)intercalation  
 501 reactions ( $M_{\text{Zn}^{2+}}^{\text{In}} + M_{\text{H}^+}^{\text{In}}$ ), the fraction of  $\text{Zn}^{2+}$  (de)intercalation sites ( $M_{\text{Zn}^{2+}}^{\text{In}}/M_{\text{H}^+}^{\text{In}}$ ), the initial  
 502 cathode reaction area ( $A_{0,\text{ca}}$ ), the exchange current density for  $\text{Zn}^{2+}$  (de)intercalation ( $i_{0,2}$ ),  
 503 the exchange current density for  $\text{H}^+$  (de)intercalation ( $i_{0,3}$ ), and the forward and reverse  
 504 reaction rate constant for ZHS deposition/dissolution ( $K_{f,\text{ca}}$  and  $K_{r,\text{ca}}$ ) (Figure S7). The  
 505 results show that  $M_{\text{Zn}^{2+}}^{\text{In}} + M_{\text{H}^+}^{\text{In}}$  has the greatest first-order Sobol index (0.58) and serves as  
 506 the major controlling factor of the simulated charge and discharge behaviors, which further  
 507 highlights the need to capture the rate-dependent capacities.

508 We note that the simulated reactions follow hypotheses (i.e.,  $\text{Zn}^{2+}$  (de)intercalation,  $\text{H}^+$   
 509 (de)intercalation, and ZHS precipitation/dissolution) that have been partly verified by exper-  
 510 imental observations such as operando X-ray crystallography, scanning electron microscopy,  
 511 and X-ray computed tomography<sup>21</sup>. We will discuss other existing hypotheses and explain

512 how the modeling study can advance our understanding and investigation of near-neutral  
 513 Zn–MnO<sub>2</sub> battery systems in Section 4.

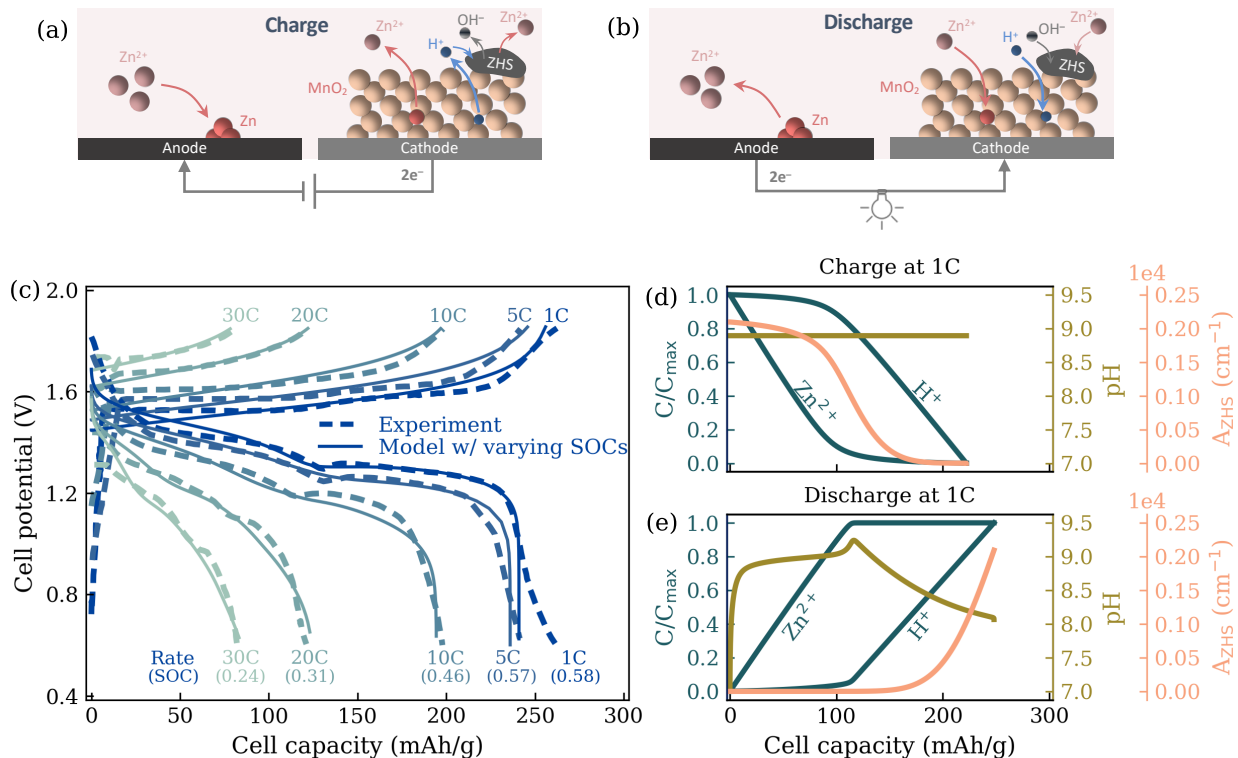


Figure 4: (a–b) Conceptual model representation of the charge and discharge of a near-neutral Zn–MnO<sub>2</sub> battery. (c) Modeled vs. measured galvanostatic charge–discharge curves of a near-neutral Zn–MnO<sub>2</sub> battery cell. The cell is charged and discharged at five current densities (i.e.,  $i_{\text{tot}}(t) = 1, 5, 10, 20,$  and  $30\text{ C}$  where  $1\text{ C} = 308\text{ mA/g}$ , where  $1\text{ C}$  refers to the rate at which a battery cell is fully charged or discharged in 1 hour). The experimental data are collected from the work of Zhou et al.<sup>31</sup>. (d–e) We present the intercalated Zn<sup>2+</sup> concentration in the MnO<sub>2</sub> (normalized by the maximum amount of intercalation sites for Zn<sup>2+</sup> intercalation), the intercalated H<sup>+</sup> concentration in the MnO<sub>2</sub> (normalized by the maximum amount of intercalation sites for H<sup>+</sup> intercalations), pH, and the surface areas of Zn<sub>4</sub>SO<sub>4</sub>(OH)<sub>6</sub> · xH<sub>2</sub>O(s) (ZHS) during the (d) charge and (e) discharge at  $i_{\text{tot}}(t) = 1\text{ C}$ . Note that in order to capture the rate-dependent cell capacities, the model needs to set different maximum saturations of Zn<sup>2+</sup> and H<sup>+</sup> in the MnO<sub>2</sub> (i.e., state of charge or SOC) in different simulations. Here we set SOC to 0.58, 0.57, 0.46, 0.31, and 0.24.

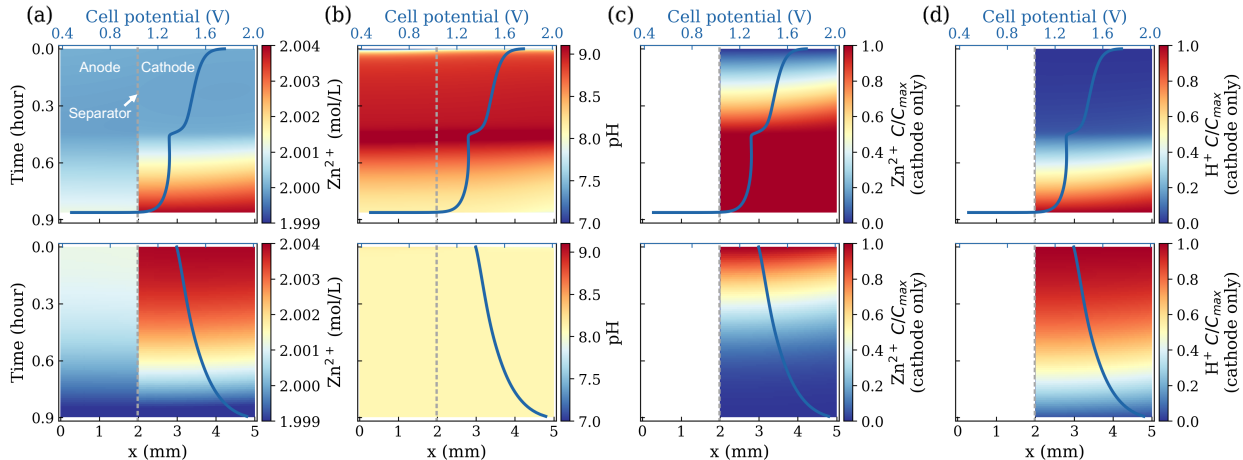


Figure 5: Spatial and temporal variations in (a) aqueous  $\text{Zn}^{2+}$  concentration, (b) pH, (c)  $\text{Zn}^{2+}$  concentration in  $\text{MnO}_2$ , and (d)  $\text{H}^+$  concentration in  $\text{MnO}_2$  during the charge (top row) and discharge (bottom row) of a Zn- $\text{MnO}_2$  battery cell. Note that because the Zn- $\text{MnO}_2$  battery cells are assembled and tested from a fully charged state in the experiments, the simulations begin from discharge and are followed by charge. For demonstration purposes, we present the simulation results for a capacity of 308 mA/g and a rate of 1 C. The left gray dashed line indicates the boundary between the anode and the separator, while the right gray dashed line indicates the boundary between the separator and the cathode.

### 514 3.1.3 Modeling galvanostatic curves of an alkaline Sn-NiOOH battery

515 As the third and final case, we benchmark the model by simulating the galvanostatic charge-  
 516 discharge curves for the alkaline Sn-NiOOH battery using a copper anode substrate (Equa-  
 517 tions (8–10)) and (Equations (11–12)) for the anode and cathode, respectively.

518 We simulate four charge-discharge curves for two capacities and four rates, where a  
 519 1.286 mAh cell is charged and discharged at two lower rates ( $0.05 \text{ mA/cm}^2$  and  $0.1 \text{ mA/cm}^2$ ),  
 520 and a 2 mAh cell is charged and discharged at two higher rates ( $0.5 \text{ mA/cm}^2$  and  $1 \text{ mA/cm}^2$ ).  
 521 Similar to the prior simulations, we first use one experimental dataset where the cell is  
 522 charged and discharged at  $i_{\text{tot}}(t) = 1 \text{ mA/cm}^2$  for a capacity of 2 mAh to determine the nine  
 523 unknown parameters—the exchange current densities for the anode electrochemical reactions  
 524 ( $i_{0,j}$ ), the reaction rate constants for all chemical reactions ( $K_f$  and  $K_r$ ), initial reactive  
 525 substrate surface area for the anode reaction ( $A_0$ ), and nucleation parameters ( $Z_{jk}$  and  $f_{jk}$ ).  
 526 Because the electro-deposited Sn solids on copper surfaces are uniform and non-spherical<sup>5</sup>,

527 we also tune the scalar for Sn solid surface area ( $\lambda_{sl}$ ) and the scalar Sn–copper interfacial  
 528 area ( $\lambda_{ss}$ ). While the parameters for cell dimensions and electrode material properties are  
 529 shown in Table S4, the calibrated parameters are reported in Tables S5, S6, and S7.

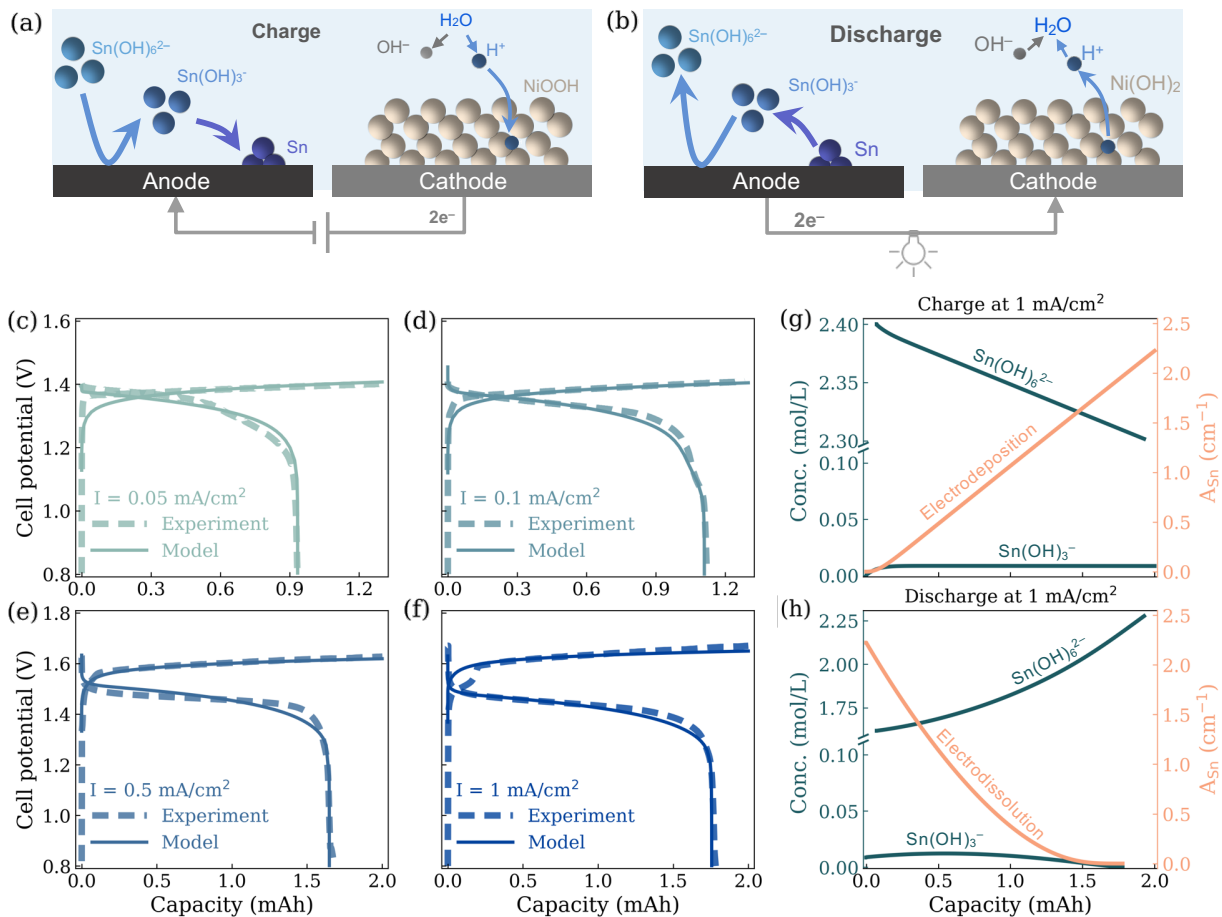


Figure 6: (a–b) Conceptual model representation of the charge and discharge of an alkaline Sn–NiOOH battery cell. (c–f) Modeled vs. measured galvanostatic charge and discharge curves of an alkaline Sn–NiOOH battery cell using a copper-based anode and an anion exchange membrane as the separator. The cells have two cycling capacities (i.e., 1.286 and 2 mAh). The cell with a lower capacity is charged and discharged at 0.05 and 0.1 mA/cm<sup>2</sup>, while the one with a higher capacity is charged and discharged at 0.5 and 1 mA/cm<sup>2</sup>. (g–h) The temporal evolutions of the electrode-averaged Sn(OH)<sub>3</sub><sup>−</sup> concentration, Sn(OH)<sub>6</sub><sup>2−</sup> concentration, and solid Sn surface area during the (g) charge and (h) discharge of the 2 mAh cell at  $i_{\text{tot}}(t) = 1 \text{ mA/cm}^2$ .

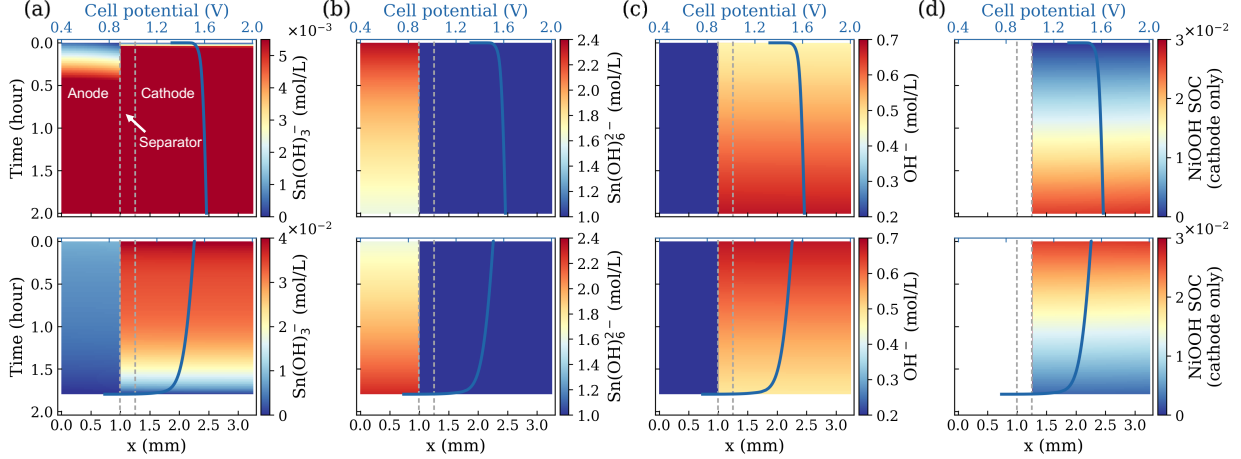


Figure 7: Spatial and temporal variations of (a)  $\text{Sn}(\text{OH})_3^-$  concentration, (b)  $\text{Sn}(\text{OH})_6^{2-}$  concentration, (c)  $\text{OH}^-$  concentration, and (d) cathode state of charge (i.e., the mole fraction of NiOOH) during the charge (top row) and discharge (bottom row) of an alkaline Sn-NiOOH battery cell using a copper-based anode substrate. For demonstration purposes, we present the simulation results for a capacity of 2 mAh and a rate of  $1 \text{ mA}/\text{cm}^2$ . The left gray dashed line indicates the boundary between the anode and the separator, while the right gray dashed line indicates the boundary between the separator and the cathode. Note that as the cell is constructed with separate negative and positive electrolytes and uses a monovalent anion exchange membrane as a separator,  $\text{Sn}(\text{OH})_6^{2-}$  is constrained to the anode and therefore its concentration is near zero within the separator and cathode (indicated by the blue color).

530 The calibrated model is then applied to simulate the remaining three charge–discharge  
531 curves. As shown in Figure 6a–d, the model reproduces the measured charge–discharge  
532 curves—the root-mean-square errors are  $0.013 \text{ V} \sim 0.027 \text{ V}$  for the charge curves and  $0.016 \text{ V} \sim 0.038 \text{ V}$   
533 for the discharge curves. Both measured and modeled discharge curves show a single voltage  
534 plateau for the charge and discharge processes (Figure 6f), despite the occurrence of two  
535 subsequent reactions—a faster 1<sup>st</sup>-step Sn electrodisolution reaction and a slower 2<sup>nd</sup>-step  
536  $\text{Sn}(\text{OH})_3^-$  oxidation reaction (Figure 6f). This is because the two reactions share similar  
537 equilibrium redox potentials as well as similar reaction kinetics on the copper substrate<sup>4,34</sup>.  
538 Figure S4 shows the model response when the discharge is simulated as a single-step re-  
539 action. This simplification leads to substantially worse agreement with experiments as the  
540 model overestimates the discharge capacity due to the exclusion of the chemical self-discharge  
541 pathway involving the  $\text{Sn}(\text{OH})_3^-$  intermediate.

542 Similar to the Zn-MnO<sub>2</sub> system, the cell charge–discharge performance is mainly con-  
543 trolled by electrochemical reactions as suggested by the uniform ion concentration profiles  
544 (Figure 7) and smaller Damköhler numbers ( $Da = 1.5 \times 10^{-3} \sim 3.1 \times 10^{-3}$ ). Additionally, we  
545 observe that the intermediate product ( $\text{Sn}(\text{OH})_3^-$ ) concentrations remain low during charge  
546 and discharge due to the low utilization of  $\text{Sn}(\text{OH})_6^{2-}$  (Figure 6e–f).

547 We also examine the key controlling factors via a global sensitivity analysis on the fol-  
548 lowing uncertain reaction parameters: the initial reactive surface area in the anode substrate  
549 ( $A_{0,\text{an}}$ ), the exchange current density for  $\text{Sn}(\text{s}) \leftrightarrow \text{Sn}(\text{OH})_3^-$  reaction ( $i_{0,1}$ ), the exchange cur-  
550 rent density for  $\text{Sn}(\text{OH})_3^- \leftrightarrow \text{Sn}(\text{OH})_6^{2-}$  reaction ( $i_{0,2}$ ), the initial reactive surface area in the  
551 cathode substrate ( $A_{0,\text{ca}}$ ), the reaction rate constant for the  $\text{Sn}(\text{OH})_3^-$  disproportionation  
552 reaction ( $K_{\text{an}}$ ), and the reaction rate constant for the  $\text{Sn}(\text{OH})_3^-$  self-discharge reaction ( $K_{\text{ca}}$ )  
553 (Figure S8). The results suggest that  $A_{0,\text{an}}$ ,  $i_{0,1}$ , and their combined effect play the domi-  
554 nant role—their first- and second-order Sobol’s indices add up to 0.92. This verifies that the  
555 system is primarily controlled by anode processes and highlights the need to characterize the  
556 reactive surface area for better predicting the charge-discharge behaviors.

## 557 3.2 Model extension

558 We further demonstrate the model’s capability and generalizability by simulating the gal-  
559 vanostatic charge–discharge curves of a technologically-relevant alkaline Sn–NiOOH aqueous  
560 battery utilizing a lower-cost carbon-based anode substrate. While the cell structure re-  
561 sembles that of the copper-substrate battery, the electrochemical reactions on the carbon  
562 surfaces are more complex<sup>4,34</sup>. Experiments showed that the carbon-substrate significantly  
563 alters the charge–discharge behavior, introducing an initial voltage peak during charge and  
564 two distinct voltage plateaus during discharge<sup>34</sup>. These observations cannot be captured by  
565 the calibrated model obtained in Section 3.1.3 (Figure 8a); therefore, we need to either retune  
566 the model parameters or extend the model by considering additional physical processes.

567 First, we recalibrate the nucleation model parameters by decreasing the nucleation rate

568 constants (i.e.,  $Z_{jk}$  and  $f_{jk}$ ) given that the initial voltage peak potentially indicates a greater  
 569 energy barrier for nucleation on carbon surfaces (see parameters in Table S7). The recal-  
 570 brated model (“Model - Nucleation” in Figure 8a) presents a better match with experimental  
 571 data as evidenced by the decrease of RMSE from 0.063 V to 0.036 V. A closer analysis of  
 572 the the temporal evolution of the volumes and surface areas of nuclei reveals that current  
 573 is primarily driven by the reduction of  $\text{Sn}(\text{OH})_6^{2-}$  to  $\text{Sn}(\text{OH})_3^-$  (Equation (9)) before the  
 574 nucleation peak, while plating (Equation (8)) dominates afterwards (Figure 8b). These find-  
 575 ings highlight the necessity of incorporating nucleation processes and two-stage reactions to  
 576 accurately model the plating behavior of Sn on a carbon substrate.

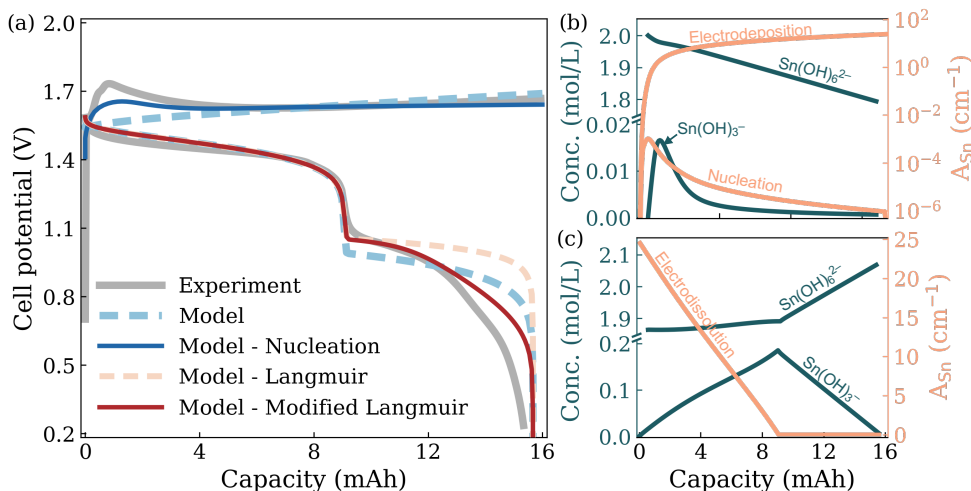


Figure 8: (a) Model extension to simulate the galvanostatic charge–discharge curves of an alkaline Sn–NiOOH battery cell with a carbon-based anode and anion exchange membrane. We compare experimental charge–discharge curves at a current density of  $4 \text{ mA}/\text{cm}^2$  with simulation results from a model neglecting nucleation for charge and adsorption for discharge (denoted by “Model”) and three extended models that account for these phenomena. The first extended model accounts for nucleation and is used for the charge simulation (denoted by “Model - Nucleation”). The second and third extended models account for the adsorption of  $\text{Sn}(\text{OH})_3^-$  on carbon surfaces—the second couples a standard Langmuir isotherm (denoted by “Model - Langmuir”), while the third incorporates a modified Langmuir isotherm (denoted by “Model - Modified Langmuir”). We also compare the electrode-averaged  $\text{Sn}(\text{OH})_3^-$  concentration,  $\text{Sn}(\text{OH})_6^{2-}$  concentration, and solid Sn surface area during (b) charge and (c) discharge.

577 While the model accurately predicts the 1<sup>st</sup>-stage discharge voltage plateau, it overesti-  
 578 mates the voltage during the 2<sup>nd</sup>-stage discharge (Figure 8a). The significant voltage loss

579 suggests an significant overpotential for  $\text{Sn}(\text{OH})_3^-$  oxidation on carbon surfaces during dis-  
 580 charge. In particular, the overpotential may arise from the electrical double layer effect  
 581 and adsorption enthalpy, which causes the concentration of  $\text{Sn}(\text{OH})_3^-$  on the carbon sur-  
 582 face to deviate from its bulk concentration. To account for the electrical double layer effect  
 583 and adsorption enthalpy, we extend the model by incorporating a Langmuir-type adsorption  
 584 model,

$$c_{sf,\text{SnII}} = c_{sf,\text{SnII}}^m \frac{K_{\text{eq}} c_{\text{SnII}}}{1 + K_{\text{eq}} c_{\text{SnII}}}, \quad (37)$$

585 where  $c_{sf,\text{SnII}}$  is the  $\text{Sn}(\text{OH})_3^-$  concentration on the substrate surface and  $c_{sf,\text{SnII}}^m$  is the max-  
 586 imum surface concentration. We implement two models: (1) a standard Langmuir isotherm  
 587 (“Model - Langmuir”), i.e.,  $K_{\text{eq}} = \exp\left(-\frac{\Delta G_{\text{ads}}^0}{R_g T}\right) \exp\left(-\frac{z_{\text{SnII}} F \Delta \phi}{R_g T}\right)$ <sup>35</sup>, where  $\Delta G_{\text{ads}}^0$  is the  
 588 standard Gibbs free energy of chemical adsorption and  $\Delta \phi = \phi_s - \phi_l$  is the electric po-  
 589 tential drop across the bulk electrolyte and the reaction plan, and (2) a modified Langmuir  
 590 isotherm (“Model - Modified Langmuir”), i.e.,  $K_{\text{eq}} = \exp\left(-\frac{\Delta G_{\text{ad}}^0}{R_g T}\right) \exp\left(-\frac{z_{\text{SnII}} F \Delta \phi_{\text{eff}}}{R_g T}\right)$ , where  
 591  $\Delta \phi_{\text{eff}} = c_{\text{SnII}}^\xi \Delta \phi$  is the empirically-derived effective electric potential drop across the bulk  
 592 electrolyte and the reaction plan, and  $\xi$  is a empirical parameter. We use  $c_{sf,\text{SnII}}$  to replace  
 593  $c_{\text{SnII}}$  in the Butler-Volmer equation (Equation (15)) to consider the deviation of surface con-  
 594 centration from the bulk electrolyte, as well as its impact on the electrochemical reactions.

595 As shown in Figure 8a, the model incorporating the standard Langmuir isotherm does not  
 596 notably modify the 2<sup>nd</sup>-stage discharge curve. Due to the relatively large  $\Delta \phi$  at the beginning  
 597 of the 2<sup>nd</sup>-stage discharge,  $K_{\text{eq}} \gg 1$  and  $c_{sf,\text{SnII}} \approx c_{sf,\text{SnII}}^m$ . The reaction rate and cell voltage  
 598 remain almost constant until the  $c_i$  approaches 0 and  $c_{s,\text{SnII}} \propto c_{\text{SnII}}$ . In contrast, the model  
 599 using a modified Langmuir isotherm ( $c_{sf,\text{SnII}}^m = 0.01 \text{ mol/m}^2$  and  $\xi = 0.65$ ) outperforms the  
 600 prior model and presents a much better match with the 2<sup>nd</sup>-stage discharge (Figure 8a). In  
 601 this model,  $K_{\text{eq}}$  and  $c_{sf,\text{SnII}}$  decrease nonlinearly with  $c_{\text{SnII}}$ . To maintain a constant current,  
 602 the anode electropotential needs to increase (i.e., the cell voltage will decrease). Accordingly,  
 603 the RMSE decreases from 0.15 V to 0.068 V. Furthermore, the new model is validated by  
 604 simulating the charge–discharge curves at three other rates. All simulated curves align well

605 with the experimental data (Figure 9). The RMSEs are 0.026 V~0.057 V for charge, and  
 606 0.069 V~0.11 V for discharge. These observations reveal additional interfacial interactions  
 607 between  $\text{Sn}(\text{OH})_3^-$  and carbon surfaces.

608 We also perform a global sensitivity analysis on the same uncertain reaction parameters  
 609 for those in Section 3.1.3 with additional parameters for nucleation (i.e., the product of  
 610 nucleation probability and frequency,  $Z \cdot f$ ) and adsorption (i.e., the empirical parameter  
 611  $\xi$ ) (Figure S9). The results suggest that  $A_{0,\text{an}}$ ,  $i_{0,1}$ , and  $i_{0,2}$  collectively control the voltage  
 612 plateau—their first- and second-order Sobol’s indices add up to 0.84 for charge and 0.48  
 613 for discharge. These observations show the dominant role of the reactive surface area and  
 614 exchange current densities for the anode reactions.

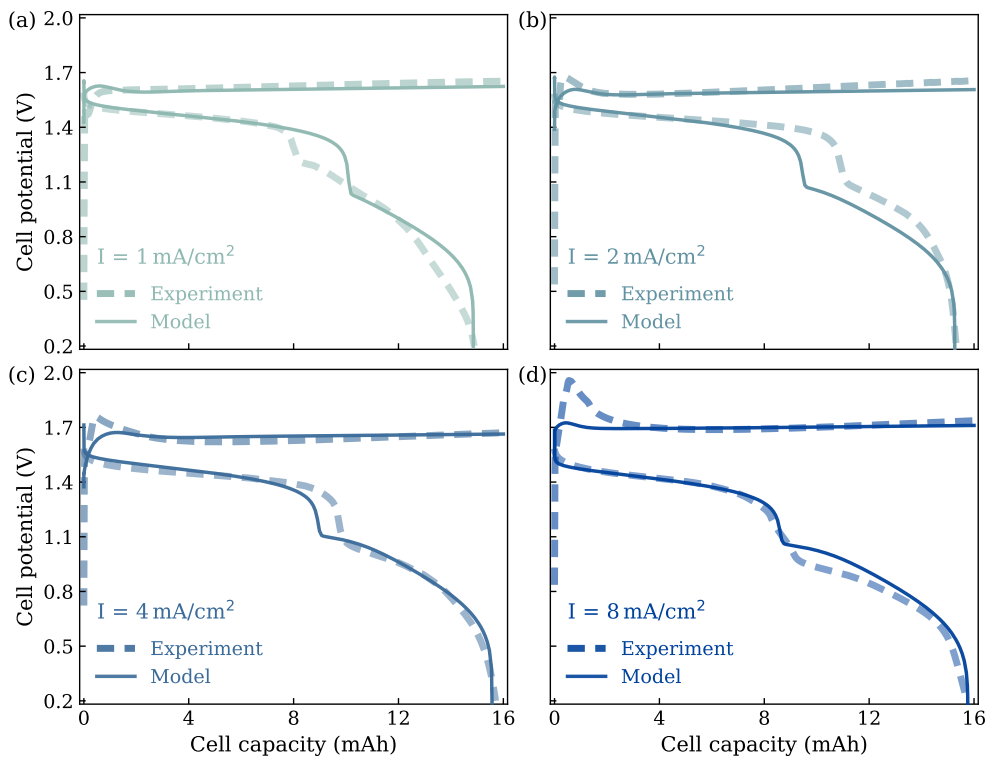


Figure 9: Modeled vs. measured galvanostatic charge–discharge curves of an alkaline Sn–NiOOH battery cell using a carbon-based anode and an anion exchange membrane as the separator. The cell is charged and discharged at four current densities (i.e.,  $i_{\text{tot}}(t) = 1, 2, 4,$  and  $8 \text{ mA/cm}^2$ ).

## 615 **4 Discussion**

616 We examine the modeling results to elucidate the mechanisms that control aqueous battery  
617 performance (Section 4.1) and inform the optimization of cell architecture and cycling pro-  
618 tocols (Section 4.2). We also comment on potential model extensions for capturing more  
619 involved physics and electrochemistries in aqueous batteries (Section 4.3).

### 620 **4.1 Mechanistic understandings of physical and electrochemical** 621 **processes**

#### 622 **4.1.1 Acidic H<sub>2</sub>–Mn battery**

623 Our model indicates that the performance of the acidic H<sub>2</sub>–Mn battery is primarily limited  
624 by reactant transport during charge. During charge, due to the higher overpotential at  
625 locations close to the anode–current collector interface (at smaller spatial coordinate  $x$ ), H<sub>2</sub>  
626 evolution and H<sup>+</sup> consumption reactions are faster and consume more H<sup>+</sup>. In the meantime,  
627 the H<sup>+</sup> supply is limited by diffusion, with both molecular diffusion and Grotthuss-type  
628 structural diffusion captured in the model by an effective H<sup>+</sup> diffusion coefficient (Table S5).  
629 They collectively lead to a substantial pH rise, especially at the locations close to the anode–  
630 current collector interface (Figure 3b). In contrast, Mn<sup>2+</sup> concentration remains relatively  
631 uniform across both space and time, except near the cathode terminal (at large spatial  
632 coordinate  $x$ ), where a pronounced gradient develops. These findings suggest that under  
633 high charge capacity conditions, reactant depletion may become more severe; specifically,  
634 H<sup>+</sup> at the anode and Mn<sup>2+</sup> at the cathode due to limited transport at the extremes of the  
635 cell. Therefore, a lower charge rate or a thinner electrode could enable achieving higher  
636 utilization of active materials. Our model can be used to identify transport-limited regimes  
637 and guide the selection of charge–discharge rates or electrode thickness that mitigate these  
638 limitations.

### 639 4.1.2 Near-neutral Zn–MnO<sub>2</sub> battery

640 For near-neutral Zn–MnO<sub>2</sub> aqueous batteries, one main challenge is to resolve the discharge  
641 reaction mechanisms at the MnO<sub>2</sub> cathode. As discussed in Section 3.1.2, this remains  
642 an open question. To help address this ambiguity, our model conceptualizes both H<sup>+</sup>  
643 (de)intercalation and ZHS formation but treats these two reactions separately, which enables  
644 us to examine their influence on pH evolution and the subsequent impact on the 2<sup>nd</sup>-stage  
645 discharge. Our model outperforms two recently reported models<sup>18,19</sup> in simulating experi-  
646 mentally measured charge–discharge curves. More importantly, our simulations suggest that  
647 competition between reversible H<sup>+</sup> (de)intercalation and ZHS deposition/dissolution can ac-  
648 count for the characteristic voltage rebound at the onset of the second discharge plateau,  
649 which is oftentimes not well captured in the other simulated discharge plateaus. We demon-  
650 strate that while the first discharge plateau is dominated by Zn<sup>2+</sup> intercalation, the second  
651 plateau corresponds to H<sup>+</sup> intercalation and ZHS formation (Figures 4b–c and 5). We observe  
652 that ZHS formation occurs predominantly during the second discharge plateau (Figure 4c).  
653 These findings suggest that the interplay between these reactions is crucial in determining  
654 reversibility and cycling stability. This is consistent with recent experimental observations  
655 and theoretical analysis<sup>19,21,22</sup>.

656 Finally, we explore other proposed discharge reaction mechanisms by three additional  
657 simulations: (1) Zn<sup>2+</sup> intercalation and H<sup>+</sup> intercalation, but without ZHS formation; (2)  
658 Zn<sup>2+</sup> intercalation only; (3) H<sup>+</sup> intercalation only. As shown in Figure S10, each of these  
659 alternate reaction mechanisms fails to capture the key features of the experimental discharge  
660 reaction. The model not considering ZHS formation will significantly underestimate the 2<sup>nd</sup>-  
661 stage discharge voltage, while the models considering a single intercalation reaction (either  
662 Zn<sup>2+</sup> or H<sup>+</sup>) cannot replicate the two-stage discharge behavior. The simulated concentrations  
663 of intercalated Zn<sup>2+</sup> and H<sup>+</sup>, pH, and surface area of ZHS in each case are shown in Figure  
664 S11. This analysis further supports our model representation of the reaction mechanisms,  
665 which includes Zn<sup>2+</sup> (de)intercalation, H<sup>+</sup> (de)intercalation, ZHS precipitation/dissolution,

666 and the interactions between them.

### 667 **4.1.3 Alkaline Sn-anode battery**

668 The behaviors of alkaline Sn–NiOOH batteries have been effectively captured by our model  
669 despite the complexity introduced by the asymmetric four-electron charge/discharge reac-  
670 tions and the sensitivity to substrate-dependent kinetics. The simulations show strong agree-  
671 ment with experimental data on both copper and carbon anode substrates, though in order  
672 to accurately reproduce the distinct charge–discharge behavior of carbon-based anode sub-  
673 strates, the model incorporates metal nucleation and surface adsorption phenomena. Under  
674 the tested cycling conditions (Figures 6 and 9), ion transport does not limit performance, as  
675 only a small fraction of the theoretical capacity is accessed (Figure S5).

676 Higher utilization of the active materials needs to be achieved to lower the cost of aque-  
677 ous batteries, but can introduce new phenomena that must be captured by the model. At  
678 high plated capacities of alkaline Sn–NiOOH batteries, carbon substrates often demonstrate  
679 inhomogeneous nucleation and plating, while copper substrates experience chemical reactiv-  
680 ity with the plated Sn, resulting in intermetallic alloying and strain-induced inhomogeneous  
681 plating<sup>5</sup>. Both of these phenomena result in spatially heterogeneous growth of the solid  
682 Sn surfaces and lead to significant spatial variations in  $\text{Sn}(\text{OH})_6^{2-}$  concentration. When ap-  
683 proaching a higher utilization, sluggish  $\text{Sn}(\text{OH})_6^{2-}$  transport from low to high reaction rate  
684 areas could cause depletion of  $\text{Sn}(\text{OH})_6^{2-}$ . If the transport time scale is longer than the reac-  
685 tion time scale, the reaction will be limited by  $\text{Sn}(\text{OH})_6^{2-}$  transport. The transport limitation  
686 can potentially lead to a rapid increase in cell potential and trigger HER to dominate. In the  
687 following section, we will demonstrate the application of our model for studying the impact  
688 of the transport limitation on the cell potential and its impact on the occurrence of HER.

## 689 4.2 Model application towards higher-utilization aqueous batter- 690 ies

691 We examine the impact of charging rates on the occurrence of HER and the corresponding  
692 utilization in alkaline Sn–NiOOH batteries. We apply the model described in Section 3.2  
693 that accounts for nucleation to predict the onset of HER by simulating the charge curves  
694 of the alkaline Sn–NiOOH battery at four different rates:  $i_{\text{tot}}(t) = 1, 4, 8,$  and  $16 \text{ mA/cm}^2$   
695 (Figure 10). For all simulations, we use a cell potential threshold (2 V) determined from high-  
696 utilization experimental data to identify the utilization at which HER becomes dominant.  
697 The simulation results suggest a notable transport limitation at the higher rates (Figure 10a–  
698 b), which leads to a rapid increase in the cell potential corresponding to the depletion of the  
699 main reactant ( $\text{Sn}(\text{OH})_6^{2-}$ ). Consequently, HER occurs at a lower utilization (approximately  
700 77.9%) and reduces the usage of all  $\text{Sn}(\text{OH})_6^{2-}$  in the system at higher rates.

701 Transport limitations are potentially more significant in experiments where the anode  
702 substrate (i.e., carbon felt) has a three-dimensional structure with heterogeneous surface  
703 properties. Additionally, the system can be further complicated in configurations where the  
704 anode length and width (dimensions in the  $y$  and  $z$  directions) are much larger than the  
705 thickness (dimension in the  $x$  direction). Therefore, the transport pathways for  $\text{Sn}(\text{OH})_6^{2-}$  in  
706 a three-dimensional system may exceed the thickness, leading to a longer transport time scale  
707 and more significant transport limitations. To achieve higher utilization without significant  
708 HER, lower charge rates, in particular later in the charging process, are necessary. Our  
709 model can be used to estimate the optimal charge rate and guide the real-time control of  
710 the charge rate.

## 711 4.3 Model limitations

712 We note that a few assumptions and simplifications are employed in the current modeling  
713 framework. First, the model adopts a one-dimensional homogeneous representation, which

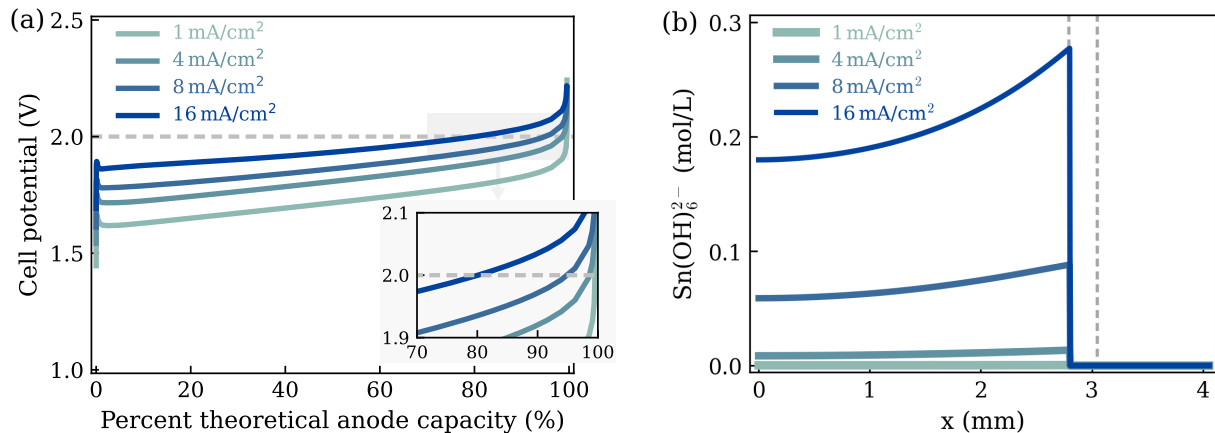


Figure 10: (a) Predictions on the onset of H<sub>2</sub> gas generation and utilization limit. The cell is charged and discharged at four current densities (i.e.,  $i_{\text{tot}}(t) = 1, 4, 8,$  and  $16 \text{ mA/cm}^2$ ). (b) The concentration profiles of  $\text{Sn(OH)}_6^{2-}$  across the cell when the cell potential reaches 2 V.

714 does not account for three-dimensional structures and heterogeneity (e.g., surface chemistry).  
 715 The importance of these factors can be further examined by extending our one-dimensional  
 716 model formulations to a three-dimensional domain. Second, we did not account for ion dif-  
 717 fusion inside the solid active materials during the intercalation and deintercalation processes  
 718 because most experimental data (e.g., alkaline Sn–NiOOH batteries) were collected at a low  
 719 utilization of the cathode active materials and the intra-particle diffusion process was not  
 720 the limiting factor. For conditions where the utilization of cathode active materials is higher,  
 721 the model can be extended to incorporate intra-particle diffusion processes by either using a  
 722 simplified representation (e.g., spherical particles<sup>19</sup>) or an explicit three-dimensional repre-  
 723 sentation of the active materials. Third, the model assumes dilute ion concentrations such  
 724 that ion activities are equal to ion concentrations. In concentrated solutions, ion activities  
 725 may be notably smaller than ion concentrations, meaning that the activity coefficient may  
 726 change with the stage of charge as ions are generated or consumed. Under those conditions,  
 727 advanced theories (e.g., extended Debye–Hückel theory, Specific Interaction Theory, Pitzer  
 728 equation, or molecule-level theory) may be necessary to compute the actual activities for  
 729 the transport and reaction models. For these advanced models, more experimental efforts  
 730 are desired to determine the unknown parameters, and once experimental data and model

731 parameterization are available, they can be incorporated into the model. Finally, we note  
732 that the model does not yet account for material degradation mechanisms and is therefore  
733 not readily applicable to long-term cycling or calendar-aging prediction. However, as demon-  
734 strated in Section 3.2, our model provides the flexibility to incorporate the above additional  
735 physical processes to investigate any aqueous battery system of interest.

## 736 5 Conclusions

737 We present a versatile modeling framework for simulating aqueous battery charge–discharge  
738 performance. The framework captures multispecies ion transport, charge transport, and  
739 reactions in the liquid electrolyte; the reactions and charge exchange at the interfaces be-  
740 tween the liquid electrolyte and the solid substrate; solid-phase charge transport; as well  
741 as temporal and spatial variations in pH and reactive surface areas. We solve the nonlin-  
742 ear model under arbitrary initial and boundary conditions using a backward-Euler finite-  
743 volume method, which provide accurate predictions on the potentiostatic or galvanostatic  
744 charge–discharge curves of aqueous batteries under a wide range of chemistries and cycling  
745 conditions. Comprehensive model-data comparisons demonstrate the model’s capability of  
746 capturing the complex physical and electrochemical processes during charge and discharge of  
747 a wide range of aqueous batteries. Furthermore, the model can be extended to simulate more  
748 involved physical processes such as metal nucleation and interfacial adsorption processes.

749 The model offers a computationally efficient tool to test hypotheses and guide architec-  
750 tural design for aqueous batteries. For systems where the physical mechanisms are poorly  
751 characterized, the model can be applied to test hypotheses for the underlying mechanisms  
752 (e.g., reaction pathways) derived from experimental observations and first-principle theories  
753 (e.g., density functional theory and molecular dynamics). This iterative process is antic-  
754 ipated to advance the characterization of key controlling physical, chemical, and electro-  
755 chemical processes on the performance of aqueous batteries. For aqueous batteries where

756 the physical mechanisms are well-characterized, the model can be directly applied to simulate  
757 their charge–discharge curves and inform ideal materials properties and tailored cycling pro-  
758 tocols for optimal performance. Combining our modeling efforts with rigorous experimental  
759 validations, we aim to advance the development of higher energy density, longer cycle life,  
760 and lower cost of aqueous batteries for grid-scale energy storage.

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## 768 **Supporting Information Available**

769 Supporting Information (SI) provides experimental information and supplementary figures.

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